# **Computable Analysis and Effective Descriptive Set Theory**

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- 1. Basic Concepts
  - Computable Analysis
  - Computable Borel Measurability
  - The Representation Theorem
- 2. Classification of Topological Operations
  - Representations of Closed Subsets
  - Topological Operations
- 3. Classification of Theorems from Functional Analysis
  - Uniformity versus Non-Uniformity
  - Open Mapping and Closed Graph Theorem
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#### Computable Analysis and Effective Descriptive Set Theory



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- This theory has been further extended by Pour-El and Richards, Hauck, Nerode, Kreitz, Weihrauch and many others.
- The representation based approach to computable analysis allows to describe computations in a large class of topological space that suffice for most applications in analysis.

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- Natural characterizations of the degree of difficulty of theorems in analysis.
- Uniform model to express computability, continuity and measurability and to provide counterexamples.
- Axiomatic choices do not matter.

**Definition 1** A function  $F :\subseteq \mathbb{N}^{\mathbb{N}} \to \mathbb{N}^{\mathbb{N}}$  is called *computable*, if there exists a Turing machine with one-way output tape which transfers each input  $p \in \operatorname{dom}(F)$  into the corresponding output F(p).



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**Proposition 2** Any computable function  $F :\subseteq \mathbb{N}^{\mathbb{N}} \to \mathbb{N}^{\mathbb{N}}$  is continuous with respect to the Baire topology on  $\mathbb{N}^{\mathbb{N}}$ .

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**Definition 4** A function  $f :\subseteq X \to Y$  is called  $(\delta, \delta')$ -computable, if there exists a computable function  $F :\subseteq \mathbb{N}^{\mathbb{N}} \to \mathbb{N}^{\mathbb{N}}$  such that  $\delta' F(p) = f \delta(p)$  for all  $p \in \operatorname{dom}(f \delta)$ .



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**Definition 5** If  $\delta, \delta'$  are representations of X, Y, respectively, then there is a canonical representation  $[\delta \to \delta']$  of the set of  $(\delta, \delta')$ -continuous functions  $f: X \to Y$ . **Definition 6** A representation  $\delta$  of a topological space X is called *admissible*, if  $\delta$  is continuous and if the identity  $id : X \to X$  is  $(\delta', \delta)$ -continuous for any continuous representation  $\delta'$  of X.

**Definition 6** A representation  $\delta$  of a topological space X is called admissible, if  $\delta$  is continuous and if the identity  $\operatorname{id} : X \to X$  is  $(\delta', \delta)$ -continuous for any continuous representation  $\delta'$  of X.

**Definition 7** If  $\delta, \delta'$  are admissible representations of (sequential) topological spaces X, Y, then  $[\delta \to \delta']$  is a representation of  $\mathcal{C}(X, Y) := \{f : X \to Y : f \text{ continuous}\}.$ 

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**Definition 7** If  $\delta, \delta'$  are admissible representations of (sequential) topological spaces X, Y, then  $[\delta \to \delta']$  is a representation of  $\mathcal{C}(X, Y) := \{f : X \to Y : f \text{ continuous}\}.$ 

- The representation  $[\delta \rightarrow \delta']$  just includes sufficiently much information on operators T in order to evaluate them effectively.
- A computable description of an operator T with respect to  $[\delta \rightarrow \delta']$  corresponds to a "program" of T.
- The underlying topology induced on  $\mathcal{C}(X,Y)$  is typically the compact-open topology.

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#### The Category of Admissibly Represented Spaces

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**Definition 9** A tuple  $(X, d, \alpha)$  is called a *computable metric space*, if

- 1.  $d: X \times X \to \mathbb{R}$  is a metric on X,
- 2.  $lpha:\mathbb{N} o X$  is a sequence which is dense in X,
- 3.  $d \circ (\alpha \times \alpha) : \mathbb{N}^2 \to \mathbb{R}$  is a computable (double) sequence in  $\mathbb{R}$ .

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**Definition 10** Let  $(X, d, \alpha)$  be a computable metric space. The *Cauchy representation*  $\delta_X :\subseteq \mathbb{N}^{\mathbb{N}} \to X$  of X is defined by

 $\delta_X(p) := \lim_{i \to \infty} \alpha p(i)$ 

for all p such that  $(\alpha p(i))_{i \in \mathbb{N}}$  converges and  $d(\alpha p(i), \alpha p(j)) < 2^{-i}$  for all j > i (and undefined for all other input sequences).

**Example 11** The following are computable metric spaces:

1.  $(\mathbb{R}^n, d_{\mathbb{R}^n}, \alpha_{\mathbb{R}^n})$  with the Euclidean metric

 $d_{\mathbb{R}^n}(x,y) := \sqrt{\sum_{i=1}^n |x_i - y_i|^2}$ 

and a standard numbering  $\alpha_{\mathbb{R}^n}$  of  $\mathbb{Q}^n$ .

2.  $(\mathcal{K}(\mathbb{R}^n), d_{\mathcal{K}}, \alpha_{\mathcal{K}})$  with the set  $\mathcal{K}(\mathbb{R}^n)$  of non-empty compact subsets of  $\mathbb{R}^n$  and the Hausdorff metric

 $d_{\mathcal{K}}(A,B) := \max\left\{\sup_{a \in A} \inf_{b \in B} d_{\mathbb{R}^n}(a,b), \sup_{b \in B} \inf_{a \in A} d_{\mathbb{R}^n}(a,b)\right\}$ 

and a standard numbering  $\alpha_{\mathcal{K}}$  of the non-empty finite subsets of  $\mathbb{Q}^n$ .

*3.*  $(\mathcal{C}(\mathbb{R}^n), d_{\mathcal{C}}, \alpha_{\mathcal{C}})$  with the set  $\mathcal{C}(\mathbb{R}^n)$  of continuous functions  $f : \mathbb{R}^n \to \mathbb{R}$ ,

$$d_{\mathcal{C}}(f,g) := \sum_{i=0}^{\infty} 2^{-i-1} \frac{\sup_{x \in [-i,i]^n} |f(x) - g(x)|}{1 + \sup_{x \in [-i,i]^n} |f(x) - g(x)|}$$

and a standard numbering  $\alpha_{\mathcal{C}}$  of  $\mathbb{Q}[x_1, ..., x_n]$ .

**Theorem 12** Let X, Y be computable metric spaces and let  $f :\subseteq X \to Y$  be a function. Then the following are equivalent:

- 1. f is continuous,
- 2. f admits a continuous realizer  $F :\subseteq \mathbb{N}^{\mathbb{N}} \to \mathbb{N}^{\mathbb{N}}$ .



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**Question:** Can this theorem be generalized to Borel measurable functions?

#### **Borel Hierarchy**

- $\Sigma_1^0(X)$  is the set of open subsets of X,
- $\Pi_1^0(X)$  is the set of closed subsets of X,
- $\Sigma_2^0(X)$  is the set of  $F_{\sigma}$  subsets of X,
- $\Pi_2^0(X)$  is the set of  $G_{\delta}$  subsets of X, etc.
- $\Delta_k^0(X) := \Sigma_k^0(X) \cap \Pi_k^0(X).$



**Definition 13** Let  $(X, d, \alpha)$  be a separable metric space. We define representations  $\delta_{\Sigma_k^0(X)}$  of  $\Sigma_k^0(X)$ ,  $\delta_{\Pi_k^0(X)}$  of  $\Pi_k^0(X)$  and  $\delta_{\Delta_k^0(X)}$  of  $\Delta_k^0(X)$  for  $k \ge 1$  as follows:

•  $\delta_{\Sigma_1^0(X)}(p) := \bigcup_{\langle i,j \rangle \in \operatorname{range}(p)} B(\alpha(i), \overline{j}),$ 

• 
$$\delta_{\mathbf{\Pi}_k^0(X)}(p) := X \setminus \delta_{\mathbf{\Sigma}_k^0(X)}(p)$$
,

• 
$$\delta_{\Sigma_{k+1}^0(X)}\langle p_0, p_1, \ldots \rangle := \bigcup_{i=0}^\infty \delta_{\Pi_k^0(X)}(p_i),$$

• 
$$\delta_{\mathbf{\Delta}_{k}^{0}(X)}\langle p,q\rangle = \delta_{\mathbf{\Sigma}_{k}^{0}(X)}(p) : \iff \delta_{\mathbf{\Sigma}_{k}^{0}(X)}(p) = \delta_{\mathbf{\Pi}_{k}^{0}(X)}(q),$$

for all  $p, p_i, q \in \mathbb{N}^{\mathbb{N}}$ .

**Proposition 14** Let X, Y be computable metric spaces. The following operations are computable for any  $k \ge 1$ :

1. 
$$\Sigma_k^0 \hookrightarrow \Sigma_{k+1}^0$$
,  $\Sigma_k^0 \hookrightarrow \Pi_{k+1}^0$ ,  $\Pi_k^0 \hookrightarrow \Sigma_{k+1}^0$ ,  $\Pi_k^0 \hookrightarrow \Pi_{k+1}^0$ ,  $A \mapsto A$  (injection)

2. 
$$\Sigma_k^0 \to \Pi_k^0$$
,  $\Pi_k^0 \to \Sigma_k^0$ ,  $A \mapsto A^c := X \setminus A$  (complement)

3. 
$$\Sigma_k^0 \times \Sigma_k^0 \to \Sigma_k^0$$
,  $\Pi_k^0 \times \Pi_k^0 \to \Pi_k^0$ ,  $(A, B) \mapsto A \cup B$  (union)

4. 
$$\Sigma_k^0 \times \Sigma_k^0 \to \Sigma_k^0$$
,  $\Pi_k^0 \times \Pi_k^0 \to \Pi_k^0$ ,  $(A, B) \mapsto A \cap B$  (intersection)

5. 
$$(\Sigma_k^0)^{\mathbb{N}} \to \Sigma_k^0, (A_n)_{n \in \mathbb{N}} \mapsto \bigcup_{n=0}^{\infty} A_n$$
 (countable union)

6. 
$$(\Pi^0_k)^{\mathbb{N}} \to \Pi^0_k$$
,  $(A_n)_{n \in \mathbb{N}} \mapsto \bigcap_{n=0}^{\infty} A_n$  (countable intersection)

7. 
$$\Sigma_k^0(X) \times \Sigma_k^0(Y) \to \Sigma_k^0(X \times Y)$$
,  $(A, B) \mapsto A \times B$  (product)

8. 
$$(\Pi_k^0(X))^{\mathbb{N}} \to \Pi_k^0(X^{\mathbb{N}}), (A_n)_{n \in \mathbb{N}} \mapsto \times_{n=0}^{\infty} A_n$$
 (countable product)

9. 
$$\Sigma_k^0(X \times \mathbb{N}) \to \Sigma_k^0(X)$$
,  $A \mapsto \{x \in X : (\exists n)(x, n) \in A\}$  (countable projection)

10. 
$$\Sigma_k^0(X \times Y) \times Y \to \Sigma_k^0(X), (A, y) \mapsto A_y := \{x \in X : (x, y) \in A\}$$
 (section)

#### **Borel Measurable Operations**

**Definition 15** Let X, Y be separable metric spaces. An operation  $f: X \to Y$  is called

•  $\Sigma_k^0$ -measurable, if  $f^{-1}(U) \in \Sigma_k^0(X)$  for any  $U \in \Sigma_1^0(Y)$ ,

**Definition 15** Let X, Y be separable metric spaces. An operation  $f: X \to Y$  is called

- $\Sigma_k^0$ -measurable, if  $f^{-1}(U) \in \Sigma_k^0(X)$  for any  $U \in \Sigma_1^0(Y)$ ,
- effectively  $\Sigma_k^0$ -measurable or  $\Sigma_k^0$ -computable, if the map

$$\boldsymbol{\Sigma}_k^0(f^{-1}): \boldsymbol{\Sigma}_1^0(Y) \to \boldsymbol{\Sigma}_k^0(X), U \mapsto f^{-1}(U)$$

is computable.
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is computable.

**Definition 16** Let X, Y be separable metric spaces. We define representations  $\delta_{\Sigma_k^0(X \to Y)}$  of  $\Sigma_k^0(X \to Y)$  by

$$\delta_{\mathbf{\Sigma}_{k}^{0}(X \to Y)}(p) = f : \iff [\delta_{\mathbf{\Sigma}_{1}^{0}(Y)} \to \delta_{\mathbf{\Sigma}_{k}^{0}(X)}](p) = \mathbf{\Sigma}_{k}^{0}(f^{-1})$$

for all  $p \in \mathbb{N}^{\mathbb{N}}$ ,  $f : X \to Y$  and  $k \ge 1$ . Let  $\delta_{\Sigma_k^0(X \to Y)}$  denote the restriction to  $\Sigma_k^0(X \to Y)$ .

**Proposition 17** Let W, X, Y and Z be computable metric spaces. The following operations are computable for all  $n, k \ge 1$ :

- 1.  $\Sigma_n^0(Y \to Z) \times \Sigma_k^0(X \to Y) \to \Sigma_{n+k-1}^0(X \to Z), (g, f) \mapsto g \circ f$  (composition)
- 2.  $\Sigma_k^0(X \to Y) \times \Sigma_k^0(X \to Z) \to \Sigma_k^0(X \to Y \times Z), (f,g) \mapsto (x \mapsto f(x) \times g(x))$  (juxtaposition)
- 3.  $\Sigma_k^0(X \to Y) \times \Sigma_k^0(W \to Z) \to \Sigma_k^0(X \times W \to Y \times Z), (f,g) \mapsto f \times g \text{ (product)}$
- 4.  $\Sigma_k^0(X \to Y^{\mathbb{N}}) \to \Sigma_k^0(X \times \mathbb{N} \to Y), f \mapsto f_*$  (evaluation)
- 5.  $\Sigma_k^0(X \times \mathbb{N} \to Y) \to \Sigma_k^0(X \to Y^{\mathbb{N}}), f \mapsto [f]$  (transposition)
- 6.  $\Sigma_k^0(X \to Y) \to \Sigma_k^0(X^{\mathbb{N}} \to Y^{\mathbb{N}}), f \mapsto f^{\mathbb{N}}$  (exponentiation)
- 7.  $\Sigma_k^0(X \times \mathbb{N} \to Y) \to \Sigma_k^0(X \to Y)^{\mathbb{N}}, f \mapsto (n \mapsto (x \mapsto f(x, n)))$  (sequencing)
- 8.  $\Sigma_k^0(X \to Y)^{\mathbb{N}} \to \Sigma_k^0(X \times \mathbb{N} \to Y), (f_n)_{n \in \mathbb{N}} \mapsto ((x, n) \mapsto f_n(x))$ (de-sequencing)

**Theorem 18** Let X, Y be computable metric spaces,  $k \ge 1$  and let  $f: X \to Y$  be a total function. Then the following are equivalent:

- 1. f is (effectively)  $\Sigma_k^0$ -measurable,
- 2. f admits an (effectively)  $\Sigma_k^0$ -measurable realizer  $F :\subseteq \mathbb{N}^{\mathbb{N}} \to \mathbb{N}^{\mathbb{N}}$ .

Proof.

## Representation Theorem

**Theorem 18** Let X, Y be computable metric spaces,  $k \ge 1$  and let  $f: X \to Y$  be a total function. Then the following are equivalent:

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The proof is based on effective versions of the

- Kuratowski-Ryll-Nardzewski Selection Theorem,
- Bhattacharya-Srivastava Selection Theorem.

**Definition 19** Let X, Y, U, V be computable metric spaces and consider functions  $f :\subseteq X \to Y$  and  $g :\subseteq U \to V$ . We say that

• f is *reducible* to g, for short  $f \leq_t g$ , if there are continuous functions  $A :\subseteq X \times V \to Y$  and  $B :\subseteq X \to U$  such that

$$f(x) = A(x, g \circ B(x))$$

for all  $x \in \operatorname{dom}(f)$ ,

- f is computably reducible to g, for short  $f \leq_{c} g$ , if there are computable A, B as above.
- The corresponding equivalences are denoted by  $\cong_t$  and  $\cong_c$ .

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**Proposition 20** The following holds for all  $k \ge 1$ :

- 1.  $f \leq_{t} g$  and g is  $\Sigma_{k}^{0}$ -measurable  $\Longrightarrow f$  is  $\Sigma_{k}^{0}$ -measurable,
- 2.  $f \leq_{c} g$  and g is  $\Sigma_{k}^{0}$ -computable  $\Longrightarrow f$  is  $\Sigma_{k}^{0}$ -computable.

**Definition 21** For any  $k \in \mathbb{N}$  we define  $C_k : \mathbb{N}^{\mathbb{N}} \to \mathbb{N}^{\mathbb{N}}$  by

$$C_k(p)(n) := \begin{cases} 0 & \text{if } (\exists n_k)(\forall n_{k-1}) \dots p \langle n, n_1, \dots, n_k \rangle \neq 0 \\ 1 & \text{otherwise} \end{cases}$$

for all  $p \in \mathbb{N}^{\mathbb{N}}$  and  $n \in \mathbb{N}$ .

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for all  $p \in \mathbb{N}^{\mathbb{N}}$  and  $n \in \mathbb{N}$ .

**Theorem 22** Let  $k \in \mathbb{N}$ . For any function  $F :\subseteq \mathbb{N}^{\mathbb{N}} \to \mathbb{N}^{\mathbb{N}}$  we obtain:

- 1.  $F \leqslant_{t} C_{k} \iff F$  is  $\Sigma_{k+1}^{0}$ -measurable,
- 2.  $F \leq_{c} C_k \iff F$  is  $\Sigma_{k+1}^0$ -computable.

**Proof.** Employ the Tarski-Kuratowski Normal Form in the appropriate way.

**Definition 23** Let X, Y, U, V be computable metric spaces and consider functions  $f: X \to Y$  and  $g: U \to V$ . We define

 $f \preceq_{\mathsf{t}} g : \iff f \delta_X \leqslant_{\mathsf{t}} g \, \delta_U$ 

and we say that f is *realizer reducible* to g, if this holds. Analogously, we define  $f \leq_{c} g$  with  $\leq_{c}$  instead of  $\leq_{t}$ . The corresponding equivalences  $\approx_{t}$  and  $\approx_{c}$  are defined straightforwardly.

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**Theorem 24** Let X, Y be computable metric spaces and let  $k \in \mathbb{N}$ . For any function  $f : X \to Y$  we obtain:

- 1.  $f \preceq_{t} C_{k} \iff f$  is  $\Sigma_{k+1}^{0}$ -measurable,
- 2.  $f \preceq_{c} C_k \iff f$  is  $\Sigma_{k+1}^0$ -computable.

**Definition 25** Let X, Y, U, V be computable metric spaces, let  $\mathcal{F}$  be a set of functions  $F : X \to Y$  and let  $\mathcal{G}$  be a set of functions  $G : U \to V$ . We define

$$\mathcal{F} \leqslant_{t} \mathcal{G} : \iff (\exists A, B \text{ computable}) (\forall G \in \mathcal{G}) (\exists F \in \mathcal{F})$$
$$(\forall x \in \operatorname{dom}(F)) F(x) = A(x, GB(x)),$$

where  $A :\subseteq X \times V \to Y$  and  $B :\subseteq X \to U$ . Analogously, one can define  $\leq_{c}$  with computable A, B.

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where  $A :\subseteq X \times V \to Y$  and  $B :\subseteq X \to U$ . Analogously, one can define  $\leq_{c}$  with computable A, B.

**Proposition 26** Let X, Y, U, V be computable metric spaces and let  $f: X \to Y$  and  $g: U \to V$  be functions. Then

 $f \preceq_{\mathbf{c}} g \iff \{F : F \vdash f\} \leqslant_{\mathbf{c}} \{G : G \vdash g\}.$ 

An analogous statement holds with respect to  $\preceq_t \ \text{and} \leqslant_t$  .

**Proposition 27** Let X be a computable metric space and consider  $c := \{(x_n)_{n \in \mathbb{N}} \in X^{\mathbb{N}} : (x_n)_{n \in \mathbb{N}} \in X^{\mathbb{N}} \text{ converges}\}$  as computable metric subspace of  $X^{\mathbb{N}}$ . The ordinary limit map

$$\lim : c \to X, (x_n)_{n \in \mathbb{N}} \mapsto \lim_{n \to \infty} x_n$$

is  $\Sigma_2^0$ -computable and it is even  $\Sigma_2^0$ -complete, if there is a computable embedding  $\iota : \{0,1\}^{\mathbb{N}} \hookrightarrow X$ .

# Completeness of the Limit

**Proposition 27** Let X be a computable metric space and consider  $c := \{(x_n)_{n \in \mathbb{N}} \in X^{\mathbb{N}} : (x_n)_{n \in \mathbb{N}} \in X^{\mathbb{N}} \text{ converges}\}$  as computable metric subspace of  $X^{\mathbb{N}}$ . The ordinary limit map

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is  $\Sigma_2^0$ -computable and it is even  $\Sigma_2^0$ -complete, if there is a computable embedding  $\iota : \{0,1\}^{\mathbb{N}} \hookrightarrow X$ .

**Proof.** On the one hand,  $\Sigma_2^0$ -computability follows from

$$\lim^{-1}(B(x,r)) = \left(\bigcup_{n=0}^{\infty} X^n \times \overline{B}(x,r-2^{-n})^{\mathbb{N}}\right) \cap c \in \mathbf{\Sigma}_2^0(c)$$

and on the other hand,  $\Sigma_2^0$ -completeness follows from

 $C_1 \leqslant_{\mathrm{c}} \lim_{\{0,1\}^{\mathbb{N}}} \leqslant_{\mathrm{c}} \lim_{X}$ .

**Theorem 28** Let X, Y be computable Banach spaces and let  $f :\subseteq X \to Y$  be a closed linear and unbounded operator. Let  $(e_n)_{n \in \mathbb{N}}$ be a computable sequence in dom(f) whose linear span is dense in Xand let  $f(e_n)_{n \in \mathbb{N}}$  be computable in Y. Then  $C_1 \leq_{c} f$ . **Theorem 28** Let X, Y be computable Banach spaces and let  $f :\subseteq X \to Y$  be a closed linear and unbounded operator. Let  $(e_n)_{n \in \mathbb{N}}$ be a computable sequence in dom(f) whose linear span is dense in Xand let  $f(e_n)_{n \in \mathbb{N}}$  be computable in Y. Then  $C_1 \leq_{c} f$ .

**Corollary 29 (First Main Theorem of Pour-El and Richards)** Under the same assumptions as above f maps some computable input  $x \in X$ to a non-computable output f(x).

**Theorem 31** Let X, Y be computable metric spaces.

• If  $f: X \to Y$  is  $\Sigma_k^0$ -computable, then it maps  $\Delta_n^0$ -computable inputs  $x \in X$  to  $\Delta_{n+k-1}^0$ -computable outputs  $f(x) \in Y$ .

**Theorem 31** Let X, Y be computable metric spaces.

- If  $f: X \to Y$  is  $\Sigma_k^0$ -computable, then it maps  $\Delta_n^0$ -computable inputs  $x \in X$  to  $\Delta_{n+k-1}^0$ -computable outputs  $f(x) \in Y$ .
- If f is even  $\Sigma_k^0$ -complete and  $k \ge 2$ , then there is some  $\Delta_n^0$ -computable input  $x \in X$  for any  $n \ge 1$  which is mapped to some  $\Delta_{n+k-1}^0$ -computable output  $f(x) \in Y$  which is not  $\Delta_{n+k-2}^0$ -computable.

**Theorem 31** Let X, Y be computable metric spaces.

- If  $f: X \to Y$  is  $\Sigma_k^0$ -computable, then it maps  $\Delta_n^0$ -computable inputs  $x \in X$  to  $\Delta_{n+k-1}^0$ -computable outputs  $f(x) \in Y$ .
- If f is even  $\Sigma_k^0$ -complete and  $k \ge 2$ , then there is some  $\Delta_n^0$ -computable input  $x \in X$  for any  $n \ge 1$  which is mapped to some  $\Delta_{n+k-1}^0$ -computable output  $f(x) \in Y$  which is not  $\Delta_{n+k-2}^0$ -computable.

**Corollary 32** An  $\Sigma_2^0$ -computable map f maps computable inputs  $x \in X$  to outputs f(x) that are computable in the halting problem  $\emptyset'$ . If f is even  $\Sigma_2^0$ -complete, then there is some computable x which is mapped to a non-computable f(x).

# Completeness of Differentiation

**Proposition 33 (von Stein)** Let  $C^{(k)}[0,1]$  be the computable metric subspace of C[0,1] which contains the k-times continuously differentiable functions  $f:[0,1] \to \mathbb{R}$ . The operator of differentiation

$$d^k: \mathcal{C}^{(k)}[0,1] \to \mathcal{C}[0,1], f \mapsto f^{(k)}$$

is  $\Sigma_{k+1}^0$ –complete.

**Proposition 33 (von Stein)** Let  $C^{(k)}[0,1]$  be the computable metric subspace of C[0,1] which contains the k-times continuously differentiable functions  $f:[0,1] \to \mathbb{R}$ . The operator of differentiation

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is  $\Sigma_{k+1}^0$ –complete.

**Corollary 34** The operator of differentiation  $d : C^{(1)}[0,1] \rightarrow C[0,1]$  is  $\Sigma_2^0$ -complete.

**Proposition 33 (von Stein)** Let  $C^{(k)}[0,1]$  be the computable metric subspace of C[0,1] which contains the k-times continuously differentiable functions  $f:[0,1] \to \mathbb{R}$ . The operator of differentiation

$$d^k: \mathcal{C}^{(k)}[0,1] \to \mathcal{C}[0,1], f \mapsto f^{(k)}$$

is  $\Sigma_{k+1}^0$ –complete.

**Corollary 34** The operator of differentiation  $d : C^{(1)}[0,1] \rightarrow C[0,1]$  is  $\Sigma_2^0$ -complete.

**Corollary 35 (Ho)** The derivative  $f' : [0,1] \to \mathbb{R}$  of any computable and continuously differentiable function  $f : [0,1] \to \mathbb{R}$  is computable in the halting problem  $\emptyset'$ .

# Completeness of Differentiation

**Proposition 33 (von Stein)** Let  $C^{(k)}[0,1]$  be the computable metric subspace of C[0,1] which contains the k-times continuously differentiable functions  $f:[0,1] \to \mathbb{R}$ . The operator of differentiation

 $d^k: \mathcal{C}^{(k)}[0,1] \to \mathcal{C}[0,1], f \mapsto f^{(k)}$ 

is  $\Sigma_{k+1}^0$ –complete.

**Corollary 34** The operator of differentiation  $d : C^{(1)}[0,1] \rightarrow C[0,1]$  is  $\Sigma_2^0$ -complete.

**Corollary 35 (Ho)** The derivative  $f' : [0,1] \to \mathbb{R}$  of any computable and continuously differentiable function  $f : [0,1] \to \mathbb{R}$  is computable in the halting problem  $\emptyset'$ .

**Corollary 36 (Myhill)** There exists a computable and continuously differentiable function  $f : [0, 1] \to \mathbb{R}$  whose derivative  $f' : [0, 1] \to \mathbb{R}$  is not computable.

- 1. Basic Concepts
  - Computable Analysis
  - Computable Borel Measurability
  - The Representation Theorem
- 2. Classification of Topological Operations
  - Representations of Closed Subsets
  - Topological Operations
- 3. Classification of Theorems from Functional Analysis
  - Uniformity versus Non-Uniformity
  - Open Mapping and Closed Graph Theorem
  - Banach's Inverse Mapping Theorem
  - Hahn-Banach Theorem

- 1. Union:  $\cup : \mathcal{A}(X) \times \mathcal{A}(X) \to \mathcal{A}(X), (A, B) \mapsto A \cup B$ ,
- 2. Intersection:  $\cap : \mathcal{A}(X) \times \mathcal{A}(X) \to \mathcal{A}(X), (A, B) \mapsto A \cap B$ ,
- 3. Complement:  $c : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \overline{A^{c}}$ ,
- 4. Interior:  $i : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \overline{A^{\circ}}$ ,
- 5. Difference:  $D: \mathcal{A}(X) \times \mathcal{A}(X) \to \mathcal{A}(X), (A, B) \mapsto \overline{A \setminus B}$ ,
- 6. Symmetric Difference:  $\Delta: \mathcal{A}(X) \times \mathcal{A}(X) \to \mathcal{A}(X), (A, B) \mapsto \overline{A\Delta B},$
- 7. Boundary:  $\partial : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \partial A$ ,
- 8. Derivative:  $d : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto A'$ .

All results in the second part of the talk are based on joint work with Guido Gherardi, University of Siena, Italy.

**Definition 37** Let  $(X, d, \alpha)$  be a computable metric space and let  $A \subseteq X$  a closed subset. Then

- A is called *r.e. closed*, if  $\{(n,r) \in \mathbb{N} \times \mathbb{Q} : A \cap B(\alpha(n),r) \neq \emptyset\}$  is r.e.
- A is called *co-r.e. closed*, if there exists an r.e. set  $I \subseteq \mathbb{N} \times \mathbb{Q}$  such that  $X \setminus A = \bigcup_{(n,r) \in I} B(\alpha(n), r)$ .
- A is called *recursive closed*, if A is r.e. and co-r.e. closed.



**Definition 38** Let  $(X, d, \alpha)$  be a computable metric space and let  $A \subseteq X$  a closed subset. Then

- A is called *r.e. closed*, if  $\{(n,r) \in \mathbb{N} \times \mathbb{Q} : A \cap B(\alpha(n),r) \neq \emptyset\}$  is r.e.
- A is called *co-r.e. closed*, if there exists an r.e. set  $I \subseteq \mathbb{N} \times \mathbb{Q}$  such that  $X \setminus A = \bigcup_{(n,r) \in I} B(\alpha(n), r)$ .
- A is called *recursive closed*, if A is r.e. and co-r.e. closed.



**Definition 39** Let  $(X, d, \alpha)$  be a computable metric space. We define representations of  $\mathcal{A}(X) := \{A \subseteq X : A \text{ closed and non-empty}\}$ :

- 1.  $\psi_+(p) = A : \iff p \text{ is a "list" of all } \langle n, k \rangle \text{ with } A \cap B(\alpha(n), \overline{k}) \neq \emptyset,$
- 2.  $\psi_{-}(p) = A : \iff p \text{ is a "list" of } \langle n_i, k_i \rangle \text{ with } X \setminus A = \bigcup_{i=0}^{\infty} B(\alpha(n_i), \overline{k_i}),$
- 3.  $\psi \langle p,q \rangle = A : \iff \psi_+(p) = A \text{ and } \psi_-(q) = A$ ,

for all  $p,q \in \mathbb{N}^{\mathbb{N}}$  and  $A \in \mathcal{A}(X)$ .

**Definition 39** Let  $(X, d, \alpha)$  be a computable metric space. We define representations of  $\mathcal{A}(X) := \{A \subseteq X : A \text{ closed and non-empty}\}$ :

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3. 
$$\psi \langle p,q \rangle = A : \iff \psi_+(p) = A \text{ and } \psi_-(q) = A$$
,

for all  $p, q \in \mathbb{N}^{\mathbb{N}}$  and  $A \in \mathcal{A}(X)$ .

- **Remark 40** The representation  $\psi_+$  of  $\mathcal{A}(\mathbb{R}^n)$  is admissible with respect to the lower Fell topology (with subbase elements  $\{A : A \cap U \neq \emptyset\}$  for any open U). The computable points are exactly the r.e. closed subsets.
  - The representation ψ<sub>−</sub> of A(ℝ<sup>n</sup>) is admissible with respect to the upper Fell topology (with subbase elements {A : A ∩ K = ∅} for any compact K). The computable points are exactly the co-r.e. closed subsets.
  - The representation  $\psi$  of  $\mathcal{A}(\mathbb{R}^n)$  is admissible with respect to the Fell topology. The computable points are exactly the recursive closed subsets.

## Borel Lattice of Closed Set Representations for Polish Spaces



#### Borel Lattice of Closed Set Representations for Polish Spaces



- Straight arrows stand for computable reductions.
- Curved arrows stand for  $\Sigma_2^0$ -computable reductions.

## Borel Lattice of Closed Set Representations for Polish Spaces



- Straight arrows stand for computable reductions.
- Curved arrows stand for  $\Sigma_2^0$ -computable reductions.
- The Borel structure induced by the final topologies of all representations except  $\psi_{-}$  is the Effros Borel structure.
- If X is locally compact, then this also holds true for  $\psi_-$ .

**Theorem 41** Let X be a computable metric space. Then intersection  $\cap : \mathcal{A}(X) \times \mathcal{A}(X) \to \mathcal{A}(X), (A, B) \mapsto A \cap B$  is

- 1. computable with respect to  $(\psi_-,\psi_-,\psi_-)$ ,
- 2.  $\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_+, \psi_-)$ ,
- 3.  $\Sigma_2^0$ -computable w.r.t.  $(\psi_-, \psi_-, \psi)$ , if X is effectively locally compact,
- 4.  $\Sigma_3^0$ -computable w.r.t.  $(\psi_+, \psi_+, \psi)$ , if X is effectively locally compact,
- 5.  $\Sigma_3^0$ -hard with respect to  $(\psi_+, \psi_+, \psi_+)$ , if X is complete and perfect,
- 6.  $\Sigma_2^0$ -hard with respect to  $(\psi, \psi, \psi_+)$ , if X is complete and perfect,
- 7. not Borel measurable w.r.t.  $(\psi, \psi, \psi_+)$ , if X is complete but not  $K_{\sigma}$ .

**Theorem 42** Let (X, d) be a computable metric space. Then the closure of the complement  $c : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \overline{A^{c}}$  is

- 1. computable with respect to  $(\psi_-,\psi_+)$ ,
- 2.  $\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi_-, \psi)$ ,
- 3.  $\Sigma_2^0$ -complete with respect to  $(\psi_+, \psi_+)$ , if X is complete and perfect,
- 4.  $\Sigma_2^0$ -complete with respect to  $(\psi, \psi_-)$ , if X is complete, perfect and proper.

**Theorem 42** Let (X, d) be a computable metric space. Then the closure of the complement  $c : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \overline{A^{c}}$  is

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- 3.  $\Sigma_2^0$ -complete with respect to  $(\psi_+, \psi_+)$ , if X is complete and perfect,
- 4.  $\Sigma_2^0$ -complete with respect to  $(\psi, \psi_-)$ , if X is complete, perfect and proper.

**Corollary 43** Let X be a computable, perfect and proper Polish space. Then there exists a recursive closed  $A \subseteq X$  such that  $\overline{A^{c}}$  is not co-r.e. closed, but  $\overline{A^{c}}$  is always co-r.e. closed in the halting problem  $\emptyset'$ . There exists a r.e. closed  $A \subseteq X$  such that  $\overline{A^{c}}$  is not r.e. closed, but  $\overline{A^{c}}$  is always r.e. closed in the halting problem  $\emptyset'$ .
**Theorem 44** Let X be a computable metric space. Then the closure of the interior  $i : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \overline{A^{\circ}}$  is

- 1.  $\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi_+)$ ,
- 2.  $\Sigma_3^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi_-, \psi)$ ,
- 3.  $\Sigma_3^0$ -complete with respect to  $(\psi_+, \psi_+)$ , if X is complete and perfect,
- 4.  $\Sigma_3^0$ -complete with respect to  $(\psi, \psi_-)$ , if X is complete, perfect and proper,
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**Theorem 44** Let X be a computable metric space. Then the closure of the interior  $i : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \overline{A^{\circ}}$  is

- 1.  $\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi_+)$ ,
- 2.  $\Sigma_3^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi_-, \psi)$ ,
- 3.  $\Sigma_3^0$ -complete with respect to  $(\psi_+, \psi_+)$ , if X is complete and perfect,
- 4.  $\Sigma_3^0$ -complete with respect to  $(\psi, \psi_-)$ , if X is complete, perfect and proper,
- 5.  $\Sigma_2^0$ -complete with respect to  $(\psi, \psi_+)$ , if X is complete, perfect and proper.

**Corollary 45** Let X be a computable, perfect and proper Polish space. Then there exists a recursive closed  $A \subseteq X$  such that  $\overline{A^{\circ}}$  is not r.e. closed, but  $\overline{A^{\circ}}$  is always r.e. closed in the halting problem  $\emptyset'$ . There exists a recursive closed  $A \subseteq X$  such that  $\overline{A^{\circ}}$  is not even co-r.e. closed in the halting problem  $\emptyset'$ , but  $\overline{A^{\circ}}$  is always co-r.e. closed in  $\emptyset''$ . **Theorem 46** Let X be a computable metric space. Then the boundary  $\partial : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \partial A$  is

- 1. computable with respect to  $(\psi, \psi_+)$ , if X is effectively locally connected,
- 2.  $\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi, \psi)$ , if X is effectively locally connected,
- 3.  $\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi_-)$ ,
- 4.  $\Sigma_3^0$ -computable w.r.t.  $(\psi_-, \psi)$ , if X is effectively locally compact,
- 5.  $\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi)$ , if X is effectively locally connected and effectively locally compact,
- 6.  $\Sigma_2^0$ -complete w.r.t.  $(\psi, \psi_-)$ , if X is complete, perfect and proper,
- 7.  $\Sigma_3^0$ -complete with respect to  $(\psi, \psi_+)$ , if  $X = \{0, 1\}^{\mathbb{N}}$ ,
- 8. not Borel measurable with respect to  $(\psi, \psi_+)$ , if  $X = \mathbb{N}^{\mathbb{N}}$ .

**Theorem 46** Let X be a computable metric space. Then the boundary  $\partial : \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto \partial A$  is

- 1. computable with respect to  $(\psi, \psi_+)$ , if X is effectively locally connected,
- 2.  $\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi, \psi)$ , if X is effectively locally connected,
- 3.  $\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi_-)$ ,
- 4.  $\Sigma_3^0$ -computable w.r.t.  $(\psi_-, \psi)$ , if X is effectively locally compact,
- 5.  $\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi)$ , if X is effectively locally connected and effectively locally compact,
- 6.  $\Sigma_2^0$ -complete w.r.t.  $(\psi, \psi_-)$ , if X is complete, perfect and proper,
- 7.  $\Sigma_3^0$ -complete with respect to  $(\psi, \psi_+)$ , if  $X = \{0, 1\}^{\mathbb{N}}$ ,
- 8. not Borel measurable with respect to  $(\psi, \psi_+)$ , if  $X = \mathbb{N}^{\mathbb{N}}$ .

**Corollary 47** Let X be a computable, perfect and proper Polish space. Then there exists a recursive closed  $A \subseteq X$  such that  $\partial A$  is not co-r.e. closed, but  $\partial A$  is always co-r.e. closed in the halting problem  $\emptyset'$ . **Theorem 48** Let X be a computable metric space. Then the derivative  $d: \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto A'$  is

- 1.  $\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_-)$ ,
- 2.  $\Sigma_3^0$ -computable with respect to  $(\psi_+, \psi)$  and  $(\psi_-, \psi_-)$ , if X is effectively locally compact,
- 3.  $\Sigma_2^0$ -complete with respect to  $(\psi, \psi_-)$ , if X is complete and perfect,
- 4.  $\Sigma_3^0$ -hard with respect to  $(\psi_-, \psi_-)$ , if X is complete and perfect,
- 5.  $\Sigma_3^0$ -hard with respect to  $(\psi, \psi_+)$ , if X is complete and perfect,
- 6. not Borel measurable with respect to  $(\psi, \psi_+)$ , if X is complete but not  $K_{\sigma}$ .

**Theorem 48** Let X be a computable metric space. Then the derivative  $d: \mathcal{A}(X) \to \mathcal{A}(X), A \mapsto A'$  is

- 1.  $\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_-)$ ,
- 2.  $\Sigma_3^0$ -computable with respect to  $(\psi_+, \psi)$  and  $(\psi_-, \psi_-)$ , if X is effectively locally compact,
- 3.  $\Sigma_2^0$ -complete with respect to  $(\psi, \psi_-)$ , if X is complete and perfect,
- 4.  $\Sigma_3^0$ -hard with respect to  $(\psi_-, \psi_-)$ , if X is complete and perfect,
- 5.  $\Sigma_3^0$ -hard with respect to  $(\psi, \psi_+)$ , if X is complete and perfect,
- 6. not Borel measurable with respect to  $(\psi, \psi_+)$ , if X is complete but not  $K_{\sigma}$ .

**Corollary 49** Let X be a computable and perfect Polish space. Then there exists a recursive closed  $A \subseteq X$  such that A' is not r.e. closed in the halting problem  $\emptyset'$ , but any such A' is co-r.e. closed in the halting problem  $\emptyset'$ .

### Survey on Results

	$\mathbb{N}$	$\{0,1\}^{\mathbb{N}}$	$\mathbb{N}^{\mathbb{N}}$	[0,1]	$[0,1]^{\mathbb{N}}$	$\mathbb{R}^{n}$	$\mathbb{R}^{\mathbb{N}}$	$\ell_2$	$\mathcal{C}[0,1]$
$A \cup B$	1	1	1	1	1	1	1	1	1
$A \cap B$	1	2	$\infty$	2	2	2	$\infty$	$\infty$	$\infty$
$\overline{A^{\mathbf{c}}}$	1	2	2	2	2	2	2	2	2
$\overline{A^{\circ}}$	1	3	3	3	3	3	3	3	3
$\overline{A\setminus B}$	1	2	2	2	2	2	2	2	2
$\overline{A\Delta B}$	1	2	2	2	2	2	2	2	2
$\partial A$	1	3	$\infty$	2	2	2	2	2	2
A'	1	3	$\infty$	3	3	3	$\infty$	$\infty$	$\infty$

Degrees of computability with respect to  $\psi$ 

- 1. Basic Concepts
  - Computable Analysis
  - Computable Borel Measurability
  - The Representation Theorem
- 2. Classification of Topological Operations
  - Representations of Closed Subsets
  - Topological Operations
- 3. Classification of Theorems from Functional Analysis
  - Uniformity versus Non-Uniformity
  - Open Mapping and Closed Graph Theorem
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# Uniform and Non-Uniform Computability



# Uniform and Non-Uniform Computability



• Uniform Computability: The function  $f: X \to Y$  is computable.

## Uniform and Non-Uniform Computability



- Uniform Computability: The function  $f: X \to Y$  is computable.
- Non-Uniform Computability: The function f maps computable elements to computable elements (i.e.  $f(X_c) \subseteq f(Y_c)$ ).

**Definition 50** A Banach space or a normed space X together with a dense sequence is called *computable* if the induced metric space is a computable metric space.

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**Theorem 51** Let X, Y be Banach spaces and let  $T : X \to Y$  be a linear operator. If T is bijective and bounded, then  $T^{-1} : Y \to X$  is bounded.

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**Theorem 51** Let X, Y be Banach spaces and let  $T : X \to Y$  be a linear operator. If T is bijective and bounded, then  $T^{-1} : Y \to X$  is bounded.

Question: Given X and Y are computable Banach spaces, which of the following properties hold true under the assumptions of the theorem:

1. Non-uniform inversion problem:

 $T \text{ computable} \Longrightarrow T^{-1} \text{ computable}?$ 

2. Uniform inversion problem:

 $T \mapsto T^{-1}$  computable?

**Definition 51** A Banach space or a normed space X together with a dense sequence is called *computable* if the induced metric space is a computable metric space.

**Theorem 52** Let X, Y be Banach spaces and let  $T : X \to Y$  be a linear operator. If T is bijective and bounded, then  $T^{-1} : Y \to X$  is bounded.

Question: Given X and Y are computable Banach spaces, which of the following properties hold true under the assumptions of the theorem:

- 1. Non-uniform inversion problem:
  - $T \text{ computable} \Longrightarrow T^{-1} \text{ computable}?$  Yes!
- 2. Uniform inversion problem:

 $T \mapsto T^{-1}$  computable?

No!

**Theorem 53** Let  $f_0, ..., f_n : [0, 1] \to \mathbb{R}$  be computable functions with  $f_n \neq 0$ . The solution operator  $L : C[0, 1] \times \mathbb{R}^n \to C^{(n)}[0, 1]$  which maps each tuple  $(y, a_0, ..., a_{n-1}) \in C[0, 1] \times \mathbb{R}^n$  to the unique function  $x = L(y, a_0, ..., a_{n-1})$  with

$$\sum_{i=0}^{n} f_i(t) x^{(i)}(t) = y(t) \text{ with } x^{(j)}(0) = a_j \text{ for } j = 0, ..., n-1,$$

is computable.

## An Initial Value Problem

**Theorem 53** Let  $f_0, ..., f_n : [0, 1] \to \mathbb{R}$  be computable functions with  $f_n \neq 0$ . The solution operator  $L : C[0, 1] \times \mathbb{R}^n \to C^{(n)}[0, 1]$  which maps each tuple  $(y, a_0, ..., a_{n-1}) \in C[0, 1] \times \mathbb{R}^n$  to the unique function  $x = L(y, a_0, ..., a_{n-1})$  with

$$\sum_{i=0}^{n} f_i(t) x^{(i)}(t) = y(t) \text{ with } x^{(j)}(0) = a_j \text{ for } j = 0, ..., n-1,$$

is computable.

**Proof.** The following operator is linear and computable:

$$L^{-1}: \mathcal{C}^{(n)}[0,1] \to \mathcal{C}[0,1] \times \mathbb{R}^n, x \mapsto \left(\sum_{i=0}^n f_i x^{(i)}, x^{(0)}(0), \dots, x^{(n-1)}(0)\right)$$

Computability follows since the i-th differentiation operator is computable. By the computable Inverse Mapping Theorem it follows that L is computable too.

 $\square$ 

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- This method is highly non-constructive: the existence of algorithms is ensured without any hint how they could look like.
- In the finite dimensional case the method is even constructive: an algorithm of  $T^{-1}$  can be effectively determined from an algorithm of T.

It is known that the map Inv : B(X,Y) → B(Y,X), T → T<sup>-1</sup> is continuous with respect to the operator norm ||T|| := sup ||Tx|| ||x||=1
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- However,  $|| || :\subseteq \mathcal{C}(X, Y) \to \mathbb{R}, T \mapsto ||T||$  is lower semi-computable.

#### Uniformity of Banach's Inverse Mapping Theorem

**Theorem 54** Let X, Y be computable normed spaces. The map

 $\iota :\subseteq \mathcal{C}(X,Y) \times \mathbb{R} \to \mathcal{C}(Y,X), (T,s) \mapsto T^{-1},$ 

defined for all (T, s) such that  $T : X \to Y$  is a linear bounded and bijective operator such that  $||T^{-1}|| \leq s$ , is computable.

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**Corollary 55** Let X, Y be computable normed spaces. The map

Inv :  $\subseteq \mathcal{C}(X, Y) \to \mathcal{C}(Y, X), T \mapsto T^{-1},$ 

defined for linear bounded and bijective operators T, is  $\Sigma_2^0$ -computable.

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**Proof.** The map  $\operatorname{id}: \mathbb{R}_{<} \to \mathbb{R}_{>}$  is  $\Sigma_{2}^{0}$ -computable and

$$||\operatorname{Inv}|| :\subseteq \mathcal{C}(X,Y) \to \mathbb{R}_{<}, T \mapsto ||T^{-1}|| = \sup_{||Tx|| \le 1} ||x||$$

is computable. Altogether, this implies that Inv is  $\Sigma_2^0$ -computable.  $\Box$ 

**Theorem 56** Let X, Y be computable normed spaces, let  $T : X \to Y$ be a linear operator and let  $(e_n)_{n \in \mathbb{N}}$  be a computable sequence in Xwhose linear span is dense in X. Then the following are equivalent:

- 1.  $T: X \rightarrow Y$  is computable,
- 2.  $(T(e_n))_{n \in \mathbb{N}}$  is computable and T is bounded,
- 3. T maps computable sequences to computable sequences and is bounded,
- 4. graph(T) is a recursive closed subset of  $X \times Y$  and T is bounded,
- 5. graph(T) is an r.e. closed subset of  $X \times Y$  and T is bounded.

In case that X and Y are even Banach spaces, one can omit boundedness in the last two cases.

**Theorem 57** Let X, Y be computable normed spaces. Then

graph:  $\mathcal{C}(X, Y) \to \mathcal{A}(X \times Y), f \mapsto \operatorname{graph}(f)$ 

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**Theorem 57** Let X, Y be computable normed spaces. Then

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is computable. The partial inverse graph<sup>-1</sup>, defined for linear bounded operators, is  $\Sigma_2^0$ -computable.

**Proof.** The following maps have the following computability properties:

- $\gamma :\subseteq \mathcal{A}(X \times Y) \times \mathbb{R} \to \mathcal{C}(X, Y), (\operatorname{graph}(T), s) \mapsto T$  is computable, (and defined for all graphs of linear bounded T such that  $||T|| \leq s$ ),
- $N :\subseteq \mathcal{A}(X \times Y) \to \mathbb{R}_{<}, \operatorname{graph}(T) \mapsto ||T|| = \sup_{\substack{||x|| \leq 1}} ||Tx||$ is computable (and defined for all graphs of linear bounded T),
- $\operatorname{id}: \mathbb{R}_{<} \to \mathbb{R}_{>}$  is  $\Sigma_{2}^{0}$ -computable.

**Theorem 58** Let X, Y be Banach spaces. If  $T : X \to Y$  is a linear bounded and surjective operator, then T is open, i.e.  $T(U) \subseteq Y$  is open for any open  $U \subseteq X$ . **Theorem 58** Let X, Y be Banach spaces. If  $T : X \to Y$  is a linear bounded and surjective operator, then T is open, i.e.  $T(U) \subseteq Y$  is open for any open  $U \subseteq X$ .

**Question:** Given X and Y are computable Banach spaces, which of the following properties hold true under the assumptions of the theorem:

- 1.  $U \subseteq X$  r.e. open  $\Longrightarrow T(U) \subseteq Y$  r.e. open?
- 2.  $\mathcal{O}(T) : \mathcal{O}(X) \to \mathcal{O}(Y), U \mapsto T(U)$  is computable?
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1.  $U \subseteq X$  r.e. open  $\Longrightarrow T(U) \subseteq Y$  r.e. open? Yes! 2.  $\mathcal{O}(T) : \mathcal{O}(X) \to \mathcal{O}(Y), U \mapsto T(U)$  is computable? Yes! 3.  $T \mapsto \mathcal{O}(T)$  is computable? No! **Theorem 58** Let X, Y be Banach spaces. If  $T : X \to Y$  is a linear bounded and surjective operator, then T is open, i.e.  $T(U) \subseteq Y$  is open for any open  $U \subseteq X$ .

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•  $T \mapsto \mathcal{O}(T)$  is  $\Sigma_2^0$ -computable.

Question: Given X and Y are computable normed spaces, which of the following properties hold true under the assumptions of the theorem:

- 1. Non-uniform version:
  - $f \text{ computable} \Longrightarrow \exists a \text{ computable extension } g?$
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A counterexample is due to Nerode, Metakides and Shore (1985).

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A counterexample is due to Nerode, Metakides and Shore (1985). Nerode and Metakides also proved that the non-uniform version is computable in the finite dimensional case. **Theorem 60 (Metakides and Nerode)** Let X be a finite-dimensional computable Banach space with some closed linear subspace  $Y \subseteq X$ . For any computable linear functional  $f: Y \to \mathbb{R}$  with computable norm ||f|| there exists a computable linear extension  $g: X \to \mathbb{R}$  with ||g|| = ||f||.

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**Lemma 61** Let (X, || ||) be a normed space,  $Y \subseteq X$  a linear subspace,  $x \in X$  and let Z be the linear subspace generated by  $Y \cup \{x\}$ . Let  $f: Y \to \mathbb{R}$  be a linear functional with ||f|| = 1. A functional  $g: Z \to \mathbb{R}$ with  $g|_Y = f|_Y$  is a linear extension of f with ||g|| = 1, if and only if

$$\sup_{u \in Y} (f(u) - ||x - u||) \le g(x) \le \inf_{v \in Y} (f(v) + ||x - v||).$$

**Definition 62** A computable Hilbert space is a computable Banach space which is a Hilbert space (i.e. whose norm is induced by a scalar product).

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**Theorem 63 (Hahn-Banach Theorem)** Let X be a Hilbert space and  $Y \subseteq X$  a linear subspace. Any linear bounded functional  $f: Y \to \mathbb{R}$  admits a uniquely determined linear bounded extension  $g: X \to \mathbb{R}$  with ||g|| = ||f||.

**Question:** Given X and Y are computable Hilbert spaces, which of the following properties hold true:

1. Non-uniform version:

 $f \text{ computable} \Longrightarrow \exists a \text{ computable extension } g?$  Yes!

2. Uniform version (potentially multi-valued):  $f \mapsto g$  computable?

Yesl

# Survey on Results

	non-uniform		uniform	
dimension	finite	infinite	finite	infinite
Banach spaces				
Open Mapping Theorem	computable		computable	$\mathbf{\Sigma}_2^0$ –computable
Banach's Inverse Mapping Theorem	computable		computable	$\mathbf{\Sigma}_2^0$ –computable
Closed Graph Theorem	computable		computable	$\mathbf{\Sigma}_2^0$ –computable
Hahn-Banach Theorem	computable $\mathbf{\Sigma}_2^0$ –computable		$\mathbf{\Sigma}_2^0$ –computable	

#### Hilbert spaces

Hahn-Banach Theorem	computable	computable
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The realizers of these theorems are not  $\Sigma_2^0$ -complete in general.

Effective Mathematics	Uniformity	<b>Degrees of Effectivity</b>
constructive analysis	fully uniform	principles of omniscience
reverse analysis over $RCA_0$	non-uniform	comprehension axioms
computable analysis	flexible uniformity	effective Borel classes

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There are other variants of the aforementioned theories:

- Uniform reverse analysis (Kohlenbach) allows to express higher degrees of uniformity.
- Reverse analysis with intuitionistic logic (Ishihara) is automatically fully uniform.
- Constructive analysis allows to retranslate non-uniform results into (more complicated) double negation statements that might be provable intuitionistically.





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Example: Baire Category Theorem.



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- Some Theorems in Constructive Analysis, if interpreted via realizability, lead to tautologies in Computable Analysis.
  Example: Banach's Inverse Mapping Theorem.

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