

# Nonnegative linearization for little $q$ -Laguerre polynomials and Faber basis

Josef Obermaier<sup>a,\*</sup>, Ryszard Szwarc<sup>b,1</sup>

<sup>a</sup>*Institute of Biomathematics and Biometry, GSF - National Research Center for Environment and Health,  
 Ingolstädter Landstr. 1, D-85764 Neuherberg, Germany*

<sup>b</sup>*Institute of Mathematics, Wrocław University, pl. Grunwaldzki 2/4, 50-384 Wrocław, Poland*

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## Abstract

The support of the orthogonality measure of so-called little  $q$ -Laguerre polynomials  $\{l_n(\cdot; a|q)\}_{n=0}^{\infty}$ ,  $0 < q < 1$ ,  $0 < a < q^{-1}$ , is given by  $S_q = \{1, q, q^2, \dots\} \cup \{0\}$ . Based on a method of Młotkowski and Szwarc we deduce a parameter set which admits nonnegative linearization. Moreover, we use this result to prove that little  $q$ -Laguerre polynomials constitute a so-called Faber basis in  $C(S_q)$ .

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## 1. Introduction

Let  $S$  denote an infinite compact subset of  $\mathbb{R}$ . A sequence of functions  $\{\varphi_n\}_{n=0}^{\infty}$  in  $C(S)$ , the set of real-valued continuous functions on  $S$ , is called a basis of  $C(S)$  if every  $f \in C(S)$  has a unique representation

$$f = \sum_{k=0}^{\infty} \lambda_k \varphi_k, \quad (1)$$

with coordinates  $\lambda_k$ . In 1914, Faber [5] proved that there is no basis in  $C([a, b])$  which consists of algebraic polynomials  $\{P_n\}_{n=0}^{\infty}$  with  $\deg P_n = n$ . One advantage of such a basis, which we call a Faber basis of  $C(S)$ , is that the  $n$ th partial sums of a representation (1) are converging towards  $f$  with the same order of magnitude as the elements of best approximation in  $\mathcal{P}_n$  do, where  $\mathcal{P}_n$  denotes the set of real algebraic polynomials with degree less or equal  $n$ , see [11, 19, Theorem 19.1].

\* Corresponding author.

E-mail addresses: [josef.obermaier@gsf.de](mailto:josef.obermaier@gsf.de) (J. Obermaier), [szwarc@math.uni.wroc.pl](mailto:szwarc@math.uni.wroc.pl) (R. Szwarc).

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In [8,9] we have investigated the case  $S = S_q$ , where

$$S_q = \{1, q, q^2, \dots\} \cup \{0\}, \quad (2)$$

$0 < q < 1$ . Besides a so-called Lagrange basis the little  $q$ -Jacobi polynomials, which are orthogonal on  $S_q$ , have been proven to constitute a Faber basis in  $C(S_q)$ .

Orthogonal polynomial sequences  $\{P_n\}_{n=0}^{\infty}$  with respect to a probability measure  $\pi$  on  $S$  are of special interest, because a representation (1) is based on the Fourier coefficients given by

$$\hat{f}(k) = \int_S f(x) P_k(x) d\pi(x), \quad k \in \mathbb{N}_0, \quad (3)$$

of  $f \in C(S)$ .

The linearization coefficients  $g(i, j, k)$  for a orthogonal polynomial sequence are defined by

$$P_i P_j = \sum_{k=0}^{\infty} g(i, j, k) P_k = \sum_{k=|i-j|}^{i+j} g(i, j, k) P_k, \quad i, j \in \mathbb{N}_0, \quad (4)$$

where  $g(i, j, |i - j|), g(i, j, i + j) \neq 0$ . The nonnegativity of the linearization coefficients has many useful consequences. For instance, it is sufficient for a special boundedness property. Namely, for  $x_0 = \sup S$  or  $x_0 = \inf S$  we have

$$\max_{x \in S} |P_n(x)| = P_n(x_0) \quad \text{for all } n \in \mathbb{N}_0, \quad (5)$$

see for instance [10, p. 166(17); 9].

Here, we use a recent result of Młotkowski and Szwarc to prove nonnegative linearization for a certain parameter set of so-called little  $q$ -Laguerre polynomials. Finally, we check that the resulting boundedness property also implies the sequence of little  $q$ -Laguerre polynomials constitutes a Faber basis. The given proof goes along the lines of the one given in [8], see also [9].

## 2. Little $q$ -Laguerre polynomials and nonnegative linearization

For parameters  $0 < q < 1$ ,  $0 < a < q^{-1}$  the sequence  $\{l_n(x; a|q)\}_{n=0}^{\infty}$  of little  $q$ -Laguerre polynomials is defined by the three term recurrence relation

$$-x l_n(x; a|q) = A_n l_{n+1}(x; a|q) - (A_n + C_n) l_n(x; a|q) + C_n l_{n-1}(x; a|q), \quad n \geq 0, \quad (6)$$

with

$$A_n = q^n (1 - aq^{n+1}), \quad (7)$$

$$C_n = aq^n (1 - q^n), \quad (8)$$

where  $l_{-1}(x; a|q) = 0$  and  $l_0(x; a|q) = 1$ . They are normalized by  $l_n(0; a|q) = 1$  and they fulfill the orthogonalization relation

$$\sum_{k=0}^{\infty} \frac{(aq)^k}{(q; q)_k} l_m(q^k; a|q) l_n(q^k; a|q) = \frac{(aq)^n (q; q)_n}{(aq; q)_{\infty} (aq; q)_n} \delta_{n,m}, \quad (9)$$

where  $(c; q)_k = (1 - c)(1 - cq) \cdots (1 - cq^{k-1})$  and  $(c; q)_{\infty} = \prod_{k=0}^{\infty} (1 - cq^k)$ , see [6]. We use a criterion of Młotkowski and Szwarc to deduce a set of parameters which guarantees nonnegativity of the linearization coefficients  $g(i, j, k)$ . The criterion given in [7] fits especially for orthogonality measures supported by a sequence of numbers accumulating at one point. Let us recall this result.

**Theorem 1** (Młotkowski and Szwarc). Let  $\{P_n\}_{n=0}^{\infty}$  be a sequence of polynomials with  $P_0 = 1$  and  $P_{-1} = 0$  satisfying the three term recurrence relation

$$x P_n(x) = \alpha_n P_{n+1}(x) + \beta_n P_n(x) + \gamma_n P_{n-1}(x). \quad (10)$$

If the sequence  $\{\beta_n\}_{n=0}^{\infty}$  is increasing and the sequence  $\{v_n\}_{n=0}^{\infty}$  with

$$v_n = \frac{\alpha_n \gamma_{n+1}}{(\beta_{n+2} - \beta_{n+1})(\beta_{n+1} - \beta_n)} \quad (11)$$

is a chain sequence, then the linearization coefficients are nonnegative.

Note that a sequence  $\{u_n\}_{n=0}^{\infty}$  is called a chain sequence if there exists a sequence of numbers  $\{g_n\}_{n=0}^{\infty}$ ,  $0 \leq g_n \leq 1$ , satisfying  $u_n = (1 - g_n)g_{n+1}$ . We gain the following result.

**Theorem 2.** If the parameters  $a$  and  $q$  with respect to the sequence of little  $q$ -Laguerre polynomials  $\{l_n(\cdot; a|q)\}_{n=0}^{\infty}$  satisfy

$$\frac{4a}{(1-q)^2[1+aq(2-q)]^2} \leq 1, \quad (12)$$

then the linearization coefficients are nonnegative.

**Proof.** For to apply the previous theorem we write (6) as

$$(1-x)l_n(x; a|q) = \alpha_n l_{n+1}(x; a|q) + \beta_n l_n(x; a|q) + \gamma_n l_{n-1}(x; a|q) \quad (13)$$

with

$$\alpha_n = A_n, \quad (14)$$

$$\beta_n = (1 - A_n - C_n), \quad (15)$$

$$\gamma_n = C_n. \quad (16)$$

By the transformation  $y = 1 - x$  we get

$$y P_n(y) = \alpha_n P_{n+1}(y) + \beta_n P_n(y) + \gamma_n P_{n-1}(y) \quad (17)$$

with  $P_n(y) = l_n(1 - y; a|q)$ . Such transformation does not influence the linearization coefficients. It is easy to check that a necessary and sufficient condition for  $\{\beta_n\}_{n=0}^{\infty}$  to be an increasing sequence is

$$a < \frac{1}{q(2+q)}. \quad (18)$$

Since the constant sequence  $\frac{1}{4}, \frac{1}{4}, \dots$  is a chain sequence, by Wall's comparison test for chain sequences [3, Theorem 5.7] a sufficient condition for  $\{v_n\}_{n=0}^{\infty}$  to be a chain sequence is  $v_n \leq \frac{1}{4}$ . A simple computation yields

$$v_n = \frac{a(1-q^n)(1-aq^{n+1})}{(1-q)^2\{1+a[1-q^n(1+q)^2]\}\{1+a[1-q^{n+1}(1+q)^2]\}}, \quad (19)$$

which implies

$$v_n \leq \frac{a}{(1-q)^2[1+aq(2-q)]^2} \quad \text{for all } n \in \mathbb{N}_0. \quad (20)$$

Hence a sufficient condition for  $\{v_n\}_{n=0}^{\infty}$  to be a chain sequence is

$$\frac{4a}{(1-q)^2[1+aq(2-q)]^2} \leq 1. \quad (21)$$

It remains to prove that (21) implies (18), but there are elementary arguments. For instance, if  $\frac{2}{3} \leq q < 1$  then (21) implies  $a \leq \frac{1}{3}$  which yields (18). In case of  $0 < q < \frac{2}{3}$  we get by (21) that  $a \leq \frac{9}{16}$  and hence (18) is also fulfilled.  $\square$

We should mention that there are parameters  $q$  and  $a$ , which admit negative linearization coefficients. For instance  $g(1, 1, 1) < 0$  if and only if  $A_1 + C_1 > A_0$ , which is equivalent to

$$q(1 - aq^2) + aq(1 - q) > (1 - aq). \quad (22)$$

The last inequality holds for  $a$  close to  $q^{-1}$ .

**Problem.** Determine the range of parameters  $q$  and  $a$  for little  $q$ -Laguerre polynomials, for which nonnegative product linearization holds.

Before we take advantage of our result to prove an approximation theoretic consequence let us make a remark on combinatorics and special functions. Even and Gillis [4] gave the quantity

$$(-1)^{n_1+\dots+n_k} \int_0^\infty e^{-x} \prod_{i=1}^k L_{n_i}^{(0)}(x) dx, \quad (23)$$

where  $L_n^{(\alpha)}$ ,  $\alpha > -1$ , denote the classical Laguerre polynomials, a combinatorial interpretation. Namely (23) is the number of possible derangements of a sequence composed of  $n_1$  objects of type 1,  $n_2$  objects of type 2, ...,  $n_k$  objects of type  $k$ . In such a way they have shown the nonnegativity of (23) and as a simple consequence they have proven the nonnegativity of the linearization coefficients of  $\{(-1)^n L_n^{(0)}\}_{n=0}^\infty$ . This property was reproved by Askey and Ismail [2] using more analytical methods for  $\alpha > -1$ . They also gave a combinatorial interpretation of

$$\frac{(-1)^{n_1+\dots+n_k}}{\Gamma(\alpha+1)} \int_0^\infty e^{-x} x^\alpha \prod_{i=1}^k L_{n_i}^{(\alpha)}(x) dx, \quad (24)$$

in case of  $\alpha = 0, 1, 2, \dots$ . Our result concerning the  $q$ -analogues of classical Laguerre polynomials is achieved only by means of analytical methods and is without any combinatorial interpretation until now. So it would be of interest if there is a connection with combinatorics, too. The reader is invited to check our results also from this point of view.

### 3. Little $q$ -Laguerre polynomials and Faber basis

Now we use the fact that nonnegative linearization yields the boundedness property (5) for to prove that certain little  $q$ -Laguerre polynomials constitute a Faber basis in  $C(S_q)$ .

**Theorem 3.** *If the parameters  $a$  and  $q$  with respect to the sequence of little  $q$ -Laguerre polynomials  $\{l_n(\cdot; a|q)\}_{n=0}^\infty$  satisfy*

$$\frac{4a}{(1-q)^2[1+aq(2-q)]^2} \leq 1, \quad (25)$$

*then  $\{l_n(\cdot; a|q)\}_{n=0}^\infty$  constitutes a Faber basis in  $C(S_q)$ .*

**Proof.** Let  $\pi$  denote the orthogonality measure. We have

$$\pi(\{q^k\}) = \frac{(aq)^k}{(q; q)_k} = \frac{(aq)^k}{(1-q)(1-q^2)\cdots(1-q^k)}, \quad k \in \mathbb{N}_0, \quad (26)$$

and  $\pi(\{0\}) = 0$ . The corresponding orthonormal polynomials are given by

$$p_n(\cdot; a|q) = \sqrt{\frac{(aq; q)_\infty (aq; q)_n}{(aq)^n (q; q)_n}} l_n(\cdot; a/q). \quad (27)$$

Let  $K_n(x, y)$  denote the kernel

$$K_n(x, y) = \sum_{k=0}^n p_k(x; a|q) p_k(y; a|q). \quad (28)$$

For proving that the sequence  $\{l_n(\cdot; a|q)\}_{n=0}^{\infty}$  constitutes a Faber basis in  $C(S_q)$  it is necessary and sufficient to show

$$\sup_{x \in S_q} \int_{S_q} |K_n(x, y)| d\pi(y) \leq C \quad \text{for all } n \in \mathbb{N}_0, \quad (29)$$

see for instance [9]. For this purpose we split the integration domain into two parts  $[0, q^n]$  and  $[q^n, 1]$ . Using  $\max_{x \in S_q} |p_n(x; a|q)| = p_n(0; a|q)$  we deduce

$$\int_0^{q^n} |K_n(x, y)| d\pi(y) \leq K_n(0, 0) \pi([0, q^n]) = \mathcal{O}((aq)^{-n}) \mathcal{O}((aq)^n) = \mathcal{O}(1). \quad (30)$$

For investigating the second part we use in case of  $x \neq y$  the Christoffel–Darboux formula

$$K_n(x, y) = \sqrt{A_n C_{n+1}} \frac{p_{n+1}(x; a|q) p_n(y; a|q) + p_n(x; a|q) p_{n+1}(y; a|q)}{x - y} \quad (31)$$

and  $|x - y| \geq (1 - q)y$  for to get

$$\begin{aligned} \int_{q^n}^1 |K_n(x, y)| d\pi(y) &\leq \frac{\sqrt{A_n C_{n+1}} p_{n+1}(0; a|q)}{1 - q} \int_{q^n}^1 \frac{|p_n(y; a|q)|}{y} d\pi(y) \\ &\quad + \frac{\sqrt{A_n C_{n+1}} p_n(0; a|q)}{1 - q} \int_{q^n}^1 \frac{|p_{n+1}(y; a|q)|}{y} d\pi(y) + \sum_{k=0}^n p_k(x; a|q)^2 \pi(\{x\}). \end{aligned} \quad (32)$$

First, note that

$$\sum_{k=0}^n p_k(x; a|q)^2 \pi(\{x\}) \leq 1, \quad (33)$$

see [1, Theorem 2.5.3, p. 63]. Next, we compute

$$A_n C_{n+1} = q^n (1 - aq^{n+1}) aq^{n+1} (1 - q^{n+1}) = \mathcal{O}(q^{2n}) \quad (34)$$

and

$$p_n(0; a|q) = \mathcal{O}((aq)^{-n/2}). \quad (35)$$

By Cauchy–Schwarz inequality we get

$$\begin{aligned} \int_{q^n}^1 \frac{|p_n(y; a|q)|}{y} d\pi(y) &\leq \left( \int_{q^n}^1 \frac{1}{y^2} d\pi(y) \right)^{1/2} = \left( \sum_{k=0}^n \frac{(aq)^k}{(q; q)_k q^{2k}} \right)^{1/2} \\ &\leq \left( \frac{1}{(q; q)_\infty} \sum_{k=0}^n \left( \frac{a}{q} \right)^k \right)^{1/2} = \mathcal{O} \left( \left( \frac{a}{q} \right)^{n/2} \right), \end{aligned} \quad (36)$$

which completes the proof.  $\square$

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