

# Stability of vector measures and non-trivial twisted sums of $c_0$

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- ▶ to report on several results describing vector analogues of the Kalton–Roberts theorem on nearly additive set functions (for this we will need some background on twisted sums of Banach spaces);

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T.K., *Stability of vector measures and twisted sums of Banach spaces*, J. Funct. Anal. **264** (2013), 2416–2456.

T.K., *Stability of vector measures and non-trivial extensions of  $c_0$* , in preparation.

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Let  $X$  be a Banach space. We ask whether there exists a constant  $v(X) < +\infty$  (depending only on  $X$ ) such that:

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If the above condition is valid, then we say that  $X$  has the **SVM property**.

# Motivation

The Kalton–Roberts theorem

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This means, in our terminology, that the space  $\mathbb{R}$  has the SVM property. As an obvious consequence, the finite-dimensional spaces  $\mathbb{R}^n$ , as well as the space  $\ell_\infty$  (more generally, all injective spaces), also have the SVM property.

## SVM character

Let  $\kappa$  be a cardinal number. We say that a Banach space  $X$  has the  **$\kappa$ -SVM property** if and only if there exists a constant  $v(\kappa, X) < \infty$  (depending only on  $\kappa$  and  $X$ ) such that given any algebra  $\mathcal{F} \subset 2^\Omega$  **of cardinality less than  $\kappa$** , and any map  $\nu: \mathcal{F} \rightarrow X$  satisfying

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there exists a vector measure  $\mu: \mathcal{F} \rightarrow X$  such that

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If  $X$  is a Banach space which does not have the SVM property, then by the **SVM character** of  $X$  we mean the minimal cardinal number  $\kappa$  such that  $X$  does not have the  $\kappa$ -SVM property, and we denote it by  $\tau(X)$ .

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If  $X$  is a Banach space which does not have the SVM property, then by the **SVM character** of  $X$  we mean the minimal cardinal number  $\kappa$  such that  $X$  does not have the  $\kappa$ -SVM property, and we denote it by  $\tau(X)$ .

**Remark.** Note that  $\tau(X)$  is properly defined for every Banach space not enjoying the SVM property.

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If  $X$  is a Banach space which does not have the SVM property, then by the **SVM character** of  $X$  we mean the minimal cardinal number  $\kappa$  such that  $X$  does not have the  $\kappa$ -SVM property, and we denote it by  $\tau(X)$ .

**Remark.** Note that  $\tau(X)$  is properly defined for every Banach space not enjoying the SVM property. (That is, if  $X$  has the  $\kappa$ -SVM property for each  $\kappa$ , then  $X$  has the SVM property.)

## Basic observations concerning the SVM character

**Let us recall our basic assumption on a given function**

$\nu: \mathcal{F} \rightarrow X$ :

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►  **$\tau(X) \geq \omega$  for every Banach space  $X$ .** [Proof] Let  $\mathcal{F} \subset 2^\Omega$  be a finite algebra of sets and  $\nu: \mathcal{F} \rightarrow X$  satisfy  $(*)$ . We may assume that  $\mathcal{F} = 2^\Omega$  and let  $n = |\Omega|$ . By a simple induction we get the inequality

$$\left\| \nu(A) - \sum_{a \in A} \nu\{a\} \right\| \leq |A| - 1 \quad \text{for } A \in \mathcal{F},$$

thus the measure  $\mu: \mathcal{F} \rightarrow X$ , defined by  $\mu\{a\} = \nu\{a\}$  for  $a \in \Omega$ , does the job. Consequently, for every Banach space  $X$  we have  $\tau(X) \geq \omega$  and  $\nu(2^n, X) \leq n - 1$  for each  $n \in \mathbb{N}$ .

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- ▶ If  $\tau(X) > \omega$  and  $X$  is complemented in its bidual, then  $X$  has the SVM property. Moreover, if there is a projection of  $X^{**}$  onto  $X$  with norm not exceeding  $\lambda$ , then  $\nu(X) \leq \lambda \nu(\omega, X)$ .

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- ▶  $\tau(c_0) > \omega$ , i.e.  $c_0$  satisfies the  $\omega$ -SVM property. [Proof]

Let  $\mathcal{F}$  be a finite algebra. Choose any  $\varepsilon \in (0, 1)$  and pick an

$n \in \mathbb{N}$  such that  $|e_j^*(\nu(A))| < \varepsilon$  for each  $j > n$  and  $A \in \mathcal{F}$ .

For each  $j$ th coordinate ( $1 \leq j \leq n$ ) there is an additive set function  $\mu_j: \mathcal{F} \rightarrow \mathbb{R}$  satisfying  $|e_j^*(\nu(A)) - \mu_j(A)| \leq K$  for  $A \in \mathcal{F}$ . Then the measure  $\mu: \mathcal{F} \rightarrow c_0$  defined by

$\mu(A) = (\mu_1(A), \dots, \mu_n(A), 0, 0, \dots)$  satisfies

$\|\nu(A) - \mu(A)\| \leq K$  for  $A \in \mathcal{F}$ . We get  $\nu(\omega, c_0) = K$ .

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- ▶  $\tau(C[0, 1]) > \omega$ , i.e.  $C[0, 1]$  satisfies the  $\omega$ -SVM property. [Proof] We use the uniform continuity of  $\nu(A) \in C[0, 1]$  (for  $A \in \mathcal{F}$ ).

# Twisted sums machinery

## Exact sequences

Let  $X, Y, Z$  be  $F$ -spaces. A short **exact sequence** is a diagram

$$(*) \quad 0 \longrightarrow Y \xrightarrow{i} Z \xrightarrow{q} X \longrightarrow 0,$$

where  $i: Y \rightarrow Z$  is a one-to-one operator with a closed range (embedding) and  $q: Z \rightarrow X$  is a surjective operator such that  $\text{im}(i) = \ker(q)$ .

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In other words,  $Z$  contains a closed subspace  $Y_1 \simeq Y$  (isomorphically) such that the quotient space  $Z/Y_1 \simeq X$ . We then say that  $Z$  is a **twisted sum** of  $Y$  and  $X$  (in this order!), or that  $Z$  is an **extension** of  $X$  by  $Y$ .

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## Equivalence between exact sequences

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We say that two exact sequences of  $F$ -spaces

$0 \rightarrow Y \rightarrow Z_1 \rightarrow X \rightarrow 0$  and  $0 \rightarrow Y \rightarrow Z_2 \rightarrow X \rightarrow 0$  are

**equivalent**, if there exists an operator  $T: Z_1 \rightarrow Z_2$  such that the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & Y & \longrightarrow & Z_1 & \longrightarrow & X & \longrightarrow 0 \\ & & \parallel & & \downarrow T & & \parallel & \\ 0 & \longrightarrow & Y & \longrightarrow & Z_2 & \longrightarrow & X & \longrightarrow 0 \end{array}$$

is commutative.

# Twisted sums machinery

## Splitting of exact sequences

For any two  $F$ -spaces  $X$  and  $Y$  we have always the trivial exact sequence:

$$(\oplus) \quad 0 \rightarrow Y \rightarrow Y \oplus X \rightarrow X \rightarrow 0$$

produced by the direct sum, jointly with the natural embedding and projection.

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The functor  $\text{Ext}$  assigns, to every pair  $(X, Y)$  of Banach spaces, the class of all **locally convex** twisted sums of  $Y$  and  $X$ , modulo the equivalence relation defined earlier.

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In other words,  $\text{Ext}(X, Y)$  is the class of all **Banach spaces**  $Z$  (identified by the equivalence relation defined earlier) which produce an exact sequence of the form

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We write  $\text{Ext}(X, Y) = 0$  if every exact sequence  $(*)$ , where  $Z$  is a Banach space, splits.

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## Functor 'Ext'

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The functor  $\text{Ext}$  assigns, to every pair  $(X, Y)$  of Banach spaces, the class of all **locally convex** twisted sums of  $Y$  and  $X$ , modulo the equivalence relation defined earlier.

In other words,  $\text{Ext}(X, Y)$  is the class of all **Banach spaces**  $Z$  (identified by the equivalence relation defined earlier) which produce an exact sequence of the form

$$(*) \quad 0 \rightarrow Y \rightarrow Z \rightarrow X \rightarrow 0.$$

We write  $\text{Ext}(X, Y) = 0$  if every exact sequence  $(*)$ , where  $Z$  is a Banach space, splits. We say that the pair  $(X, Y)$  splits if  $(*)$  splits for every locally bounded  $F$ -space  $Z$  (so, this is something stronger).

## Some classical results

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# SVM property

## Necessary conditions

### Theorem 1

If  $X$  is a Banach space complemented in its bidual such that  $\tau(X) > \omega$ , then for every Banach space  $Y$ , which is a  $\mathcal{L}_\infty$ -space, the pair  $(Y, X)$  splits.

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Let  $\Gamma$  be a cardinal number. If  $X$  is a Banach space which has the  $(2^\Gamma)^+$ -SVM property (i.e.  $\tau(X) > (2^\Gamma)^+$ ), then the pair  $(\ell_\infty(\Gamma), X)$  splits;

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Similarly,  $\tau(C[0, \omega^\omega]) = \omega_1$ .

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**Corollary.** If  $X$  is a Banach space containing  $\{\ell_p^n\}_{n=1}^\infty$  uniformly complemented, for some  $1 \leq p < \infty$ , then  $\tau(X) = \omega$ .

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Therefore, by our necessary condition, we infer that  
 $\tau(m_0(\Gamma)) \leq \Gamma^{++}$ .

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Let  $\Gamma$  be an infinite cardinal number. Then the space  $m_0(\Gamma)$  has the  $\text{cf}(\Gamma)^+$ -SVM property with  $\nu(\text{cf}(\Gamma)^+, m_0(\Gamma)) \leq 16K < 720$ .

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## Corollary

For every infinite cardinal  $\Gamma$  we have

$$\tau(c_0(\Gamma)) = \omega_2.$$

## $\kappa$ -injectivity and $\kappa$ -SVM property

Let  $\kappa$  be a cardinal. A Banach space  $X$  is called  **$\kappa$ -injective** if for every Banach space  $E$ , with density character less than  $\kappa$ , and every subspace  $F \subset E$ , every operator  $t: F \rightarrow X$  admits an extension to an operator  $T: E \rightarrow X$ . If for some  $\lambda \geq 1$  there is always such an extension with  $\|T\| \leq \lambda \|t\|$ , then we say that  $X$  is  **$(\lambda, \kappa)$ -injective**.

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A. Avilés, F. Cabello Sánchez, J.M.F. Castillo, M. González, Y. Moreno, *On separably injective Banach spaces*, Adv. Math. **234** (2013), 192–216.

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### Theorem 4

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Characterisation of the SVM property for  $X \hookrightarrow_c X^{**}$

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Characterisation of the SVM property for  $X \hookrightarrow_{c} X^{**}$

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**Corollary.**  $X^{**}$  has the SVM property if and only if  $\text{Ext}(X^*, \ell_1) = 0$ .

[Proof] The vector spaces  $\text{Ext}(Z, Y^*)$  and  $\text{Ext}(Y, Z^*)$  are isomorphic (Jebreen, Jamjoom, Yost), so  $\text{Ext}(X^*, \ell_1) = 0$  is equivalent to  $\text{Ext}(c_0, X^{**}) = 0$  which in turn is equivalent to  $X^{**}$  having the SVM property.

# Non-trivial extensions of $c_0$

F. Cabello Sánchez, J.M.F. Castillo, *Uniform boundedness and twisted sums of Banach spaces*, Houston J. Math. **30** (2004), 523–536.

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$$\text{Ext}(c_0, \ell_2) \neq 0$$

Now, we shall explain how their construction may be strengthened by using the following assertion:

## Theorem 6

Every infinite-dimensional Banach space having the  $\omega_1$ -SVM property contains an isomorphic copy of  $c_0$ .

## Sketch of the proof of Theorem 6

- ▶ Consider the pull-back diagram, where the first row is the Kalton–Peck twisted sum and  $j^*: L_\infty \rightarrow \ell_2$  is the adjoint to the embedding  $j: \ell_2 \hookrightarrow L_1$  given by Rademacher functions:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \ell_2 & \longrightarrow & \mathcal{Z}_2 & \longrightarrow & \ell_2 & \longrightarrow & 0 \\ & & \parallel & & \uparrow & & \uparrow j^* & & \\ 0 & \longrightarrow & \ell_2 & \longrightarrow & \text{PB} & \longrightarrow & L_\infty & \longrightarrow & 0 \end{array}$$

$$(j^*f = (r_j^*f)_{j=1}^\infty \in \ell_2, \text{ where } r_j^*f = \int_0^1 r_j(t)f(t) \, dt)$$

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$$(j^*f = (r_j^*f)_{j=1}^\infty \in \ell_2, \text{ where } r_j^*f = \int_0^1 r_j(t)f(t) \, dt)$$

- ▶ The space  $\mathcal{Z}_2$  is the completion of the direct sum  $\ell_2 \oplus \ell_2$  under the quasi-norm given by  $\|(y, x)\| = \|x\| + \|y - \varphi(x)\|$ , where  $\varphi: \ell_2 \rightarrow \ell_2$  is a *quasi-linear map*, that is, it is homogeneous and satisfies

$$\|\varphi(x + y) - \varphi(x) - \varphi(y)\| \leq c(\|x\| + \|y\|) \quad \text{for all } x, y \in \ell_2,$$

where  $c < \infty$  is a constant.

## Sketch of the proof of Theorem 6 continued

- ▶  $\varphi$  may be given as a quasi-linear extension of a map  $\varphi_0: \mathbb{R}^\infty \rightarrow \mathbb{R}^\infty$  defined by  $\varphi_0(x) = \|x\|F(x/\|x\|)$  (0 for  $x = 0$ ), where  $F: \mathbb{R}^\infty \rightarrow \mathbb{R}^\infty$  is a quasi-additive map of the form:

## Sketch of the proof of Theorem 6 continued

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$$F(x)(k) = x(k) \cdot \theta(-\log|x(k)|) \quad (\text{convention: } 0 \cdot \infty = 0),$$

where  $\theta: \mathbb{R} \rightarrow \mathbb{R}$  is an (unbounded) Lipschitz function with  $\theta(t) = 0$  for  $t \leq 0$ .

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$$\|F(x+y) - F(x) - F(y)\| \leq L \cdot \log 2(\|x\| + \|y\|) \quad \text{for all } x, y \in \mathbb{R}^\infty,$$

where  $L = \text{Lip}(\theta)$ ,

## Sketch of the proof of Theorem 6 continued

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where  $L = \text{Lip}(\theta)$ , and hence it may be proved that the constant of quasi-linearity of  $\varphi$  is at most  $16L \cdot \log 2$ .

## Sketch of the proof of Theorem 6 continued

- ▶ Let  $\mathcal{F} = \{A \subset \mathbb{N}: |A| < \omega \text{ or } |\mathbb{N} \setminus A| < \omega\}$ . We look for a suitable sequence  $(f_n)_{n=1}^{\infty} \subset L_{\infty}$ , equivalent to the canonical basis of  $c_0$ , for which we will define an ‘almost’ additive measure  $\nu: \mathcal{F} \rightarrow \ell_2$  by the formula

$$\nu(A) = \begin{cases} \varphi(j^* \sum_{n \in A} f_n) & \text{for } A \text{ finite ,} \\ -\nu(\mathbb{N} \setminus A) & \text{for } A \text{ cofinite.} \end{cases}$$

## Sketch of the proof of Theorem 6 continued

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- ▶ So, for finite  $A \subset \mathbb{N}$  we have

$$\nu(A)(k) = (r_k^* f_A) \cdot \theta \left( -\log \frac{|r_k^* f_A|}{\|(r_j^* f_A)_{j=1}^{\infty}\|_2} \right),$$

where  $f_A := \sum_{n \in A} f_n$ .

## Sketch of the proof of Theorem 6 continued

- We choose  $f_n$ 's so that they are disjointly supported characteristic functions of some unions of 'Rademacher's subintervals' of  $[0, 1]$ , and so that for each  $n \in \mathbb{N}$  we have:
  - $\|(r_j^* f_n)_{j=1}^\infty\|_2 \sim \frac{1}{n};$

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  - ▶ exactly  $\lfloor e^n \rfloor$  of the coordinates  $r_j^* f_n$  ( $j = 1, 2, \dots$ ) are non-zero, and for each of them  $|r_j^* f_n| \sim e^{-n/2} \|(r_j^* f_n)_{j=1}^{\infty}\|_2$ .

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Then  $\|\nu\{n\}\| \sim \frac{\theta(\frac{1}{2}n)}{n^{1/2}}$  for each  $n \in \mathbb{N}$ .

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- ▶ In this way we define a function  $\nu: \mathcal{F} \rightarrow \ell_2$  satisfying the following three conditions:

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- In this way we define a function  $\nu: \mathcal{F} \rightarrow \ell_2$  satisfying the following three conditions:
  - (i)  $\nu$  is 1-additive, that is, for every  $A, B \in \mathcal{F}$  with  $A \cap B = \emptyset$ , we have  $\|\nu(A \cup B) - \nu(A) - \nu(B)\| \leq 1$ ;
  - (ii) for each  $n \in \mathbb{N}$  we have  $\|\nu\{n\}\| \geq M$ , where  $M$  is arbitrarily fixed positive number;
  - (iii)  $\nu$  is bounded.

## Sketch of the proof of Theorem 6 continued

- ▶ Now, suppose that an infinite-dimensional Banach space has the  $\omega_1$ -SVM property and take  $M$  (from the previous slide) to be larger than  $v(\omega_1, X)$ .

## Sketch of the proof of Theorem 6 continued

- ▶ Now, suppose that an infinite-dimensional Banach space has the  $\omega_1$ -SVM property and take  $M$  (from the previous slide) to be larger than  $v(\omega_1, X)$ . Use the Dvoretzky theorem ( $\ell_2$  is finitely representable in  $X$ ) to ‘pack’ the function  $\nu$  into  $X$ .

## Sketch of the proof of Theorem 6 continued

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- ▶ There must exist a finitely additive vector measure  $\mu: \mathcal{F} \rightarrow X$  which approximates  $\nu$  to within  $\nu(\omega_1, X)$ .

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- ▶ There must exist a finitely additive vector measure  $\mu: \mathcal{F} \rightarrow X$  which approximates  $\nu$  to within  $v(\omega_1, X)$ . But then the series  $\sum_{n=1}^{\infty} \mu\{n\}$  certainly diverges.

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- ▶ There must exist a finitely additive vector measure  $\mu: \mathcal{F} \rightarrow X$  which approximates  $\nu$  to within  $v(\omega_1, X)$ . But then the series  $\sum_{n=1}^{\infty} \mu\{n\}$  certainly diverges.
- ▶ Consequently, we have produced a bounded and non-strongly additive measure with values in  $X$ , and hence the Diestel–Faires theorem tells us that  $X$  contains an isomorphic copy of  $c_0$ .

# Non-trivial extensions of $c_0$

## Corollary

For every infinite-dimensional Banach space  $X$  that is complemented in its bidual and does not contain isomorphically  $c_0$  (e.g. for any infinite-dimensional reflexive space) we have  $\text{Ext}(c_0, X) \neq 0$ .

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*Proof.* Combine Theorems 5 and 6.