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# Computable Analysis and Effective Descriptive Set Theory

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# Survey

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## 1. Basic Concepts

- Computable Analysis
- Computable Borel Measurability
- The Representation Theorem

## 2. Classification of Topological Operations

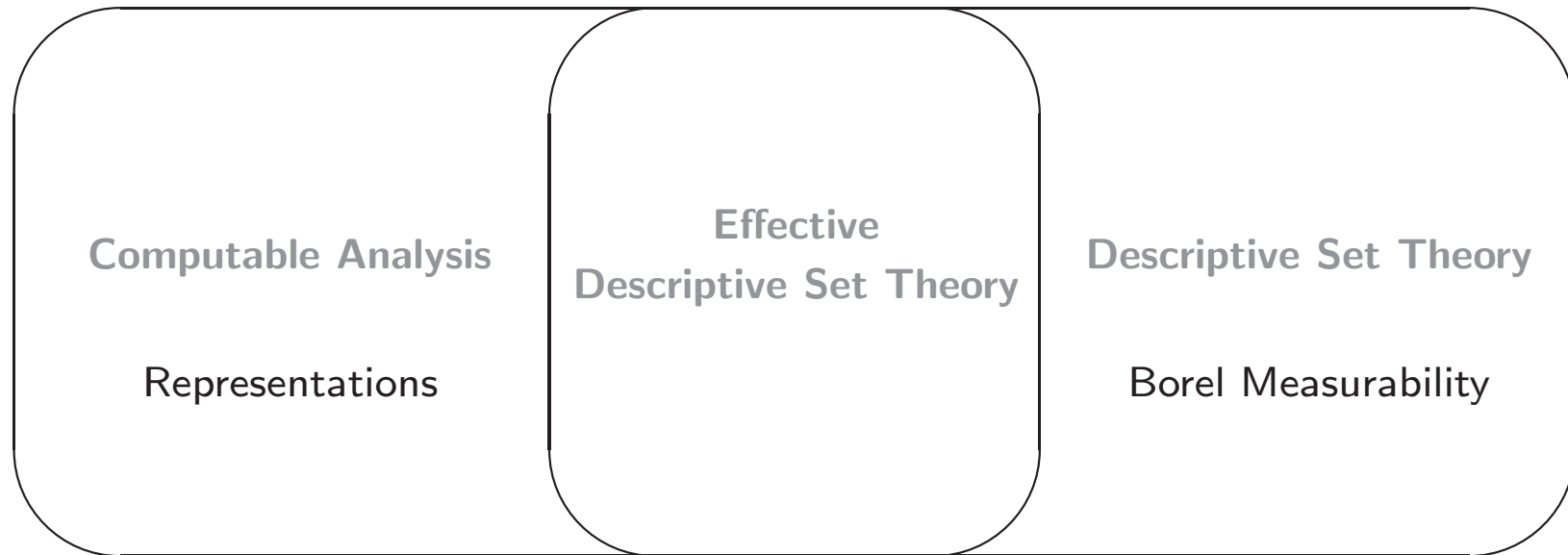
- Representations of Closed Subsets
- Topological Operations

## 3. Classification of Theorems from Functional Analysis

- Uniformity versus Non-Uniformity
- Open Mapping and Closed Graph Theorem
- Banach's Inverse Mapping Theorem
- Hahn-Banach Theorem

# Computable Analysis and Effective Descriptive Set Theory

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- This theory has been further extended by Pour-El and Richards, Hauck, Nerode, Kreitz, Weihrauch and many others.
- The representation based approach to computable analysis allows to describe computations in a large class of topological space that suffice for most applications in analysis.



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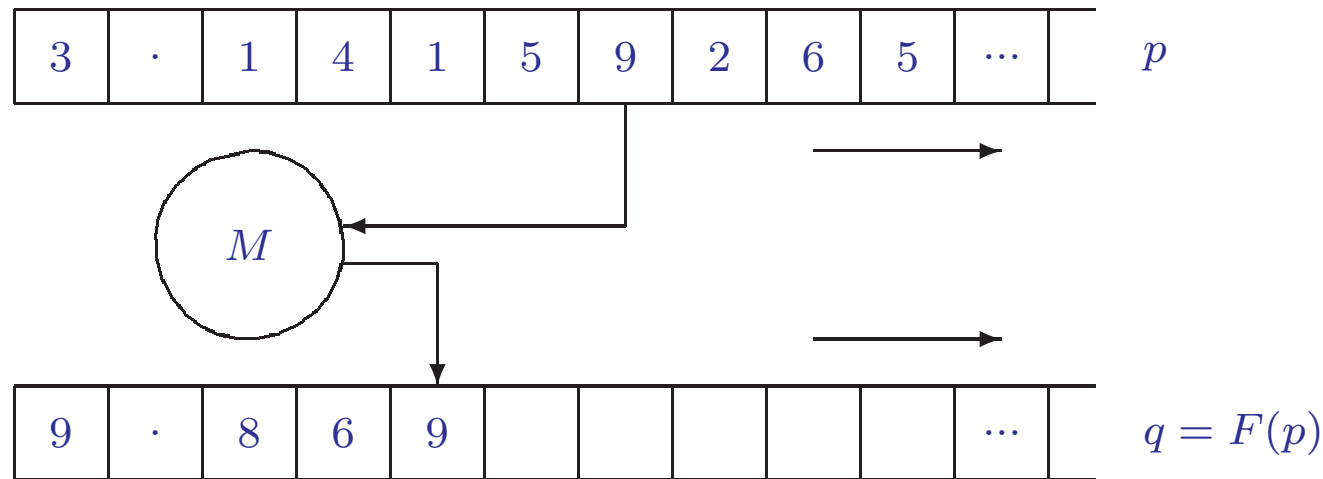
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- Non-uniform results for the arithmetical hierarchy are easy corollaries of completeness results.
- Natural characterizations of the degree of difficulty of theorems in analysis.
- Uniform model to express computability, continuity and measurability and to provide counterexamples.
- Axiomatic choices do not matter.



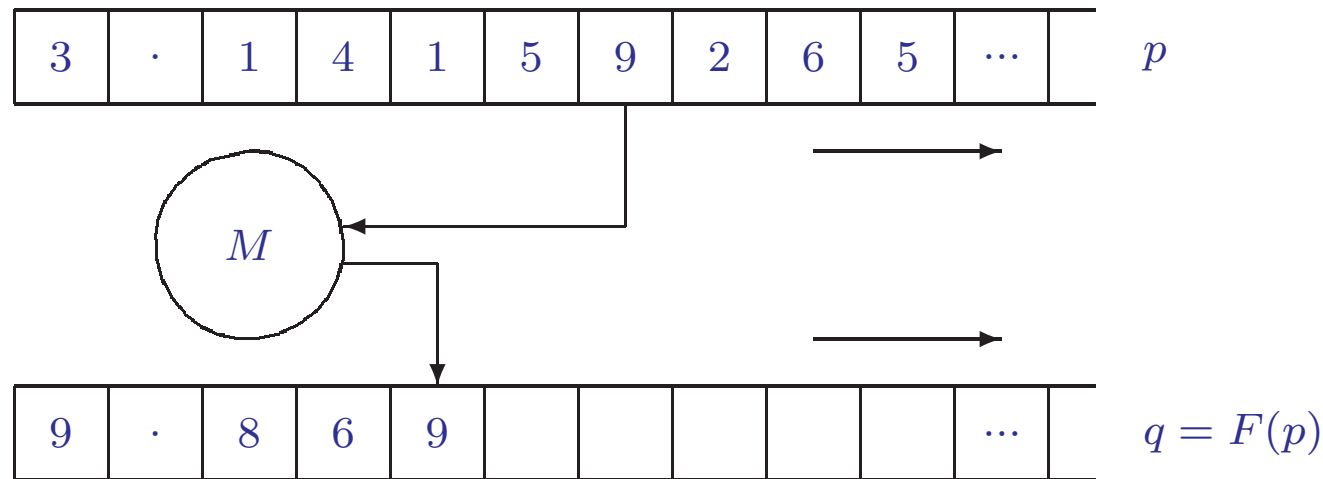
# Turing Machines

**Definition 1** A function  $F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  is called *computable*, if there exists a Turing machine with one-way output tape which transfers each input  $p \in \text{dom}(F)$  into the corresponding output  $F(p)$ .



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**Proposition 2** Any computable function  $F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  is continuous with respect to the Baire topology on  $\mathbb{N}^{\mathbb{N}}$ .

# Computable Functions

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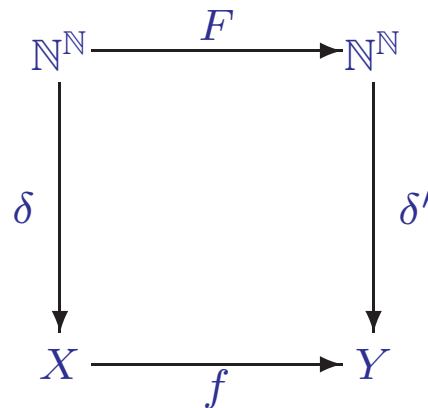
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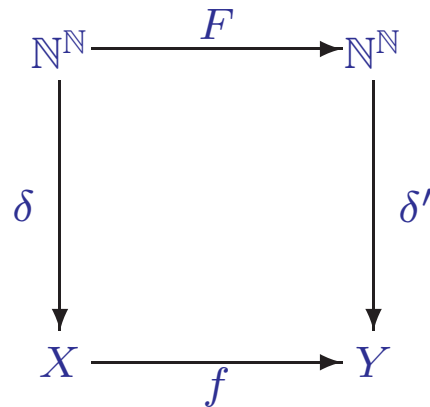


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**Definition 5** If  $\delta, \delta'$  are representations of  $X, Y$ , respectively, then there is a canonical representation  $[\delta \rightarrow \delta']$  of the set of  $(\delta, \delta')$ -continuous functions  $f : X \rightarrow Y$ .

# Admissible Representations

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**Definition 6** A representation  $\delta$  of a topological space  $X$  is called *admissible*, if  $\delta$  is continuous and if the identity  $\text{id} : X \rightarrow X$  is  $(\delta', \delta)$ -continuous for any continuous representation  $\delta'$  of  $X$ .

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**Definition 7** If  $\delta, \delta'$  are admissible representations of (sequential) topological spaces  $X, Y$ , then  $[\delta \rightarrow \delta']$  is a representation of  $\mathcal{C}(X, Y) := \{f : X \rightarrow Y : f \text{ continuous}\}$ .

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- The representation  $[\delta \rightarrow \delta']$  just includes sufficiently much information on operators  $T$  in order to evaluate them effectively.
- A computable description of an operator  $T$  with respect to  $[\delta \rightarrow \delta']$  corresponds to a “program” of  $T$ .
- The underlying topology induced on  $\mathcal{C}(X, Y)$  is typically the compact-open topology.



# The Category of Admissibly Represented Spaces

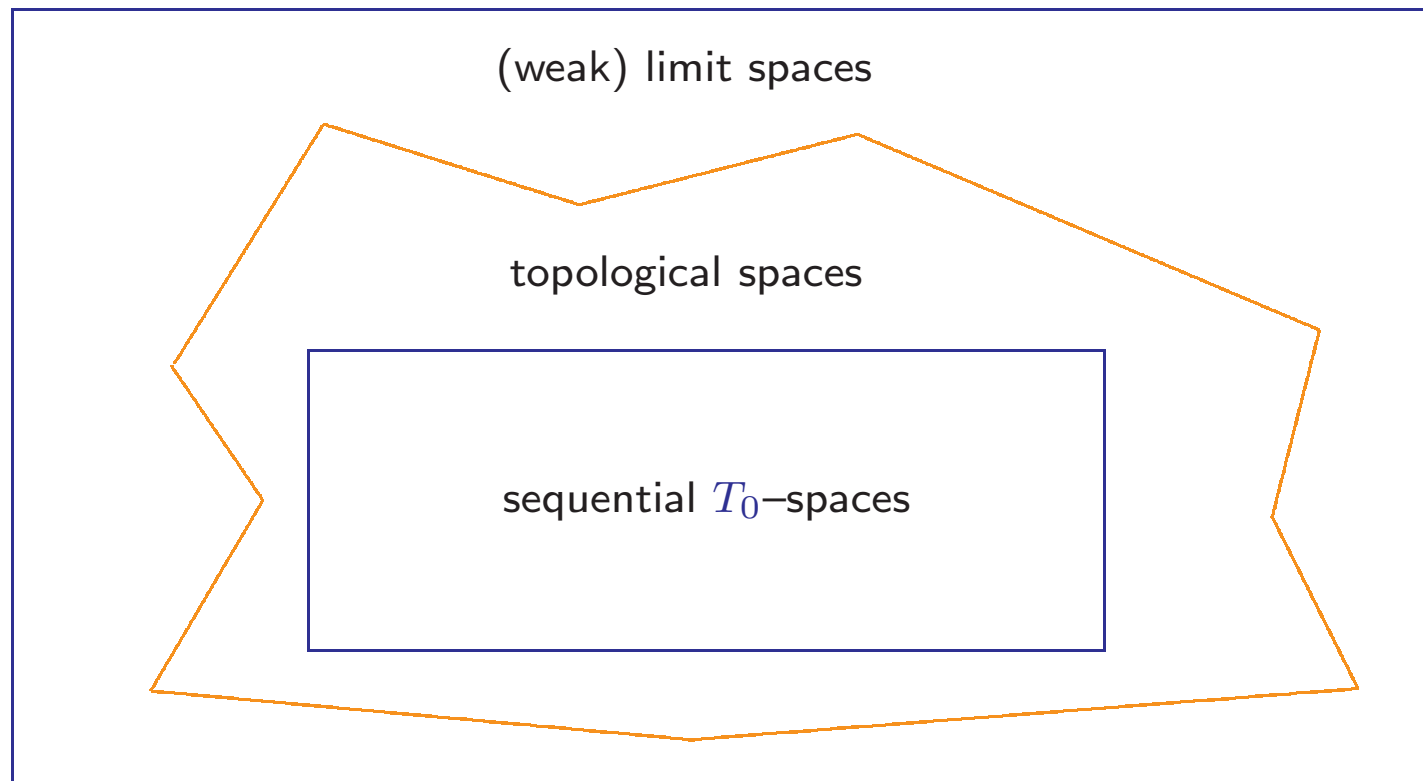
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# Computable Metric Spaces

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**Definition 9** A tuple  $(X, d, \alpha)$  is called a *computable metric space*, if

1.  $d : X \times X \rightarrow \mathbb{R}$  is a metric on  $X$ ,
2.  $\alpha : \mathbb{N} \rightarrow X$  is a sequence which is dense in  $X$ ,
3.  $d \circ (\alpha \times \alpha) : \mathbb{N}^2 \rightarrow \mathbb{R}$  is a computable (double) sequence in  $\mathbb{R}$ .

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**Definition 10** Let  $(X, d, \alpha)$  be a computable metric space. The *Cauchy representation*  $\delta_X : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow X$  of  $X$  is defined by

$$\delta_X(p) := \lim_{i \rightarrow \infty} \alpha p(i)$$

for all  $p$  such that  $(\alpha p(i))_{i \in \mathbb{N}}$  converges and  $d(\alpha p(i), \alpha p(j)) < 2^{-i}$  for all  $j > i$  (and undefined for all other input sequences).

# Examples of Computable Metric Spaces

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**Example 11** *The following are computable metric spaces:*

1.  $(\mathbb{R}^n, d_{\mathbb{R}^n}, \alpha_{\mathbb{R}^n})$  with the Euclidean metric

$$d_{\mathbb{R}^n}(x, y) := \sqrt{\sum_{i=1}^n |x_i - y_i|^2}$$

*and a standard numbering  $\alpha_{\mathbb{R}^n}$  of  $\mathbb{Q}^n$ .*

2.  $(\mathcal{K}(\mathbb{R}^n), d_{\mathcal{K}}, \alpha_{\mathcal{K}})$  with the set  $\mathcal{K}(\mathbb{R}^n)$  of non-empty compact subsets of  $\mathbb{R}^n$  and the Hausdorff metric

$$d_{\mathcal{K}}(A, B) := \max \left\{ \sup_{a \in A} \inf_{b \in B} d_{\mathbb{R}^n}(a, b), \sup_{b \in B} \inf_{a \in A} d_{\mathbb{R}^n}(a, b) \right\}$$

*and a standard numbering  $\alpha_{\mathcal{K}}$  of the non-empty finite subsets of  $\mathbb{Q}^n$ .*

3.  $(\mathcal{C}(\mathbb{R}^n), d_{\mathcal{C}}, \alpha_{\mathcal{C}})$  with the set  $\mathcal{C}(\mathbb{R}^n)$  of continuous functions  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ ,

$$d_{\mathcal{C}}(f, g) := \sum_{i=0}^{\infty} 2^{-i-1} \frac{\sup_{x \in [-i, i]^n} |f(x) - g(x)|}{1 + \sup_{x \in [-i, i]^n} |f(x) - g(x)|}$$

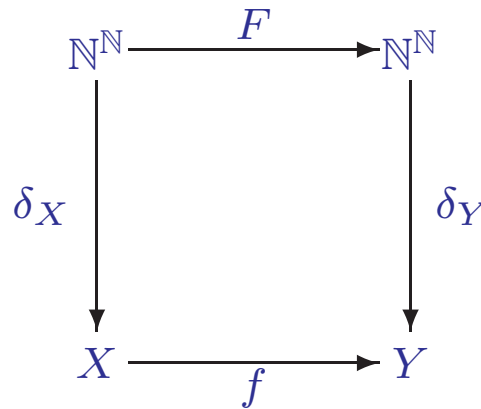
*and a standard numbering  $\alpha_{\mathcal{C}}$  of  $\mathbb{Q}[x_1, \dots, x_n]$ .*

# Kreitz-Weihrach Representation Theorem

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**Theorem 12** *Let  $X, Y$  be computable metric spaces and let  $f : \subseteq X \rightarrow Y$  be a function. Then the following are equivalent:*

1.  *$f$  is continuous,*
2.  *$f$  admits a continuous realizer  $F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ .*

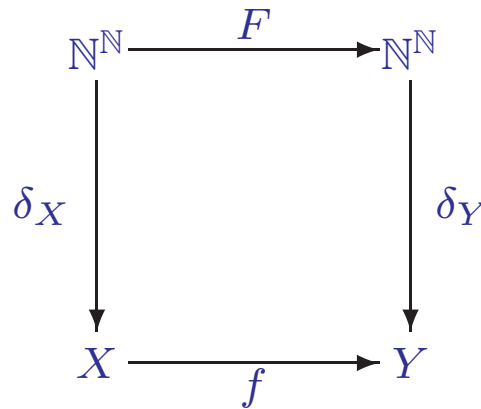


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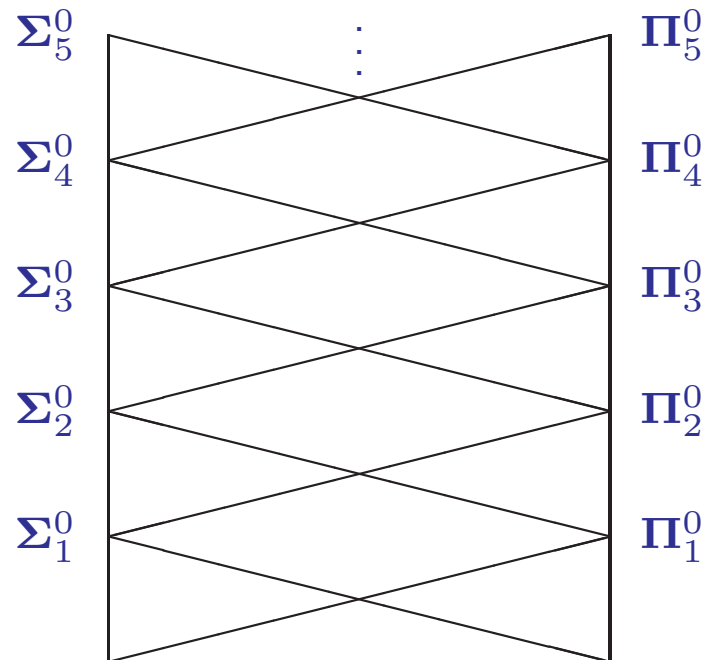
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**Question:** Can this theorem be generalized to Borel measurable functions?

# Borel Hierarchy

- $\Sigma_1^0(X)$  is the set of open subsets of  $X$ ,
- $\Pi_1^0(X)$  is the set of closed subsets of  $X$ ,
- $\Sigma_2^0(X)$  is the set of  $F_\sigma$  subsets of  $X$ ,
- $\Pi_2^0(X)$  is the set of  $G_\delta$  subsets of  $X$ , etc.
- $\Delta_k^0(X) := \Sigma_k^0(X) \cap \Pi_k^0(X)$ .





# Representations of Borel Classes

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**Definition 13** Let  $(X, d, \alpha)$  be a separable metric space. We define representations  $\delta_{\Sigma_k^0(X)}$  of  $\Sigma_k^0(X)$ ,  $\delta_{\Pi_k^0(X)}$  of  $\Pi_k^0(X)$  and  $\delta_{\Delta_k^0(X)}$  of  $\Delta_k^0(X)$  for  $k \geq 1$  as follows:

- $\delta_{\Sigma_1^0(X)}(p) := \bigcup_{\langle i, j \rangle \in \text{range}(p)} B(\alpha(i), \bar{j}),$
- $\delta_{\Pi_k^0(X)}(p) := X \setminus \delta_{\Sigma_k^0(X)}(p),$
- $\delta_{\Sigma_{k+1}^0(X)}\langle p_0, p_1, \dots \rangle := \bigcup_{i=0}^{\infty} \delta_{\Pi_k^0(X)}(p_i),$
- $\delta_{\Delta_k^0(X)}\langle p, q \rangle = \delta_{\Sigma_k^0(X)}(p) : \iff \delta_{\Sigma_k^0(X)}(p) = \delta_{\Pi_k^0(X)}(q),$

for all  $p, p_i, q \in \mathbb{N}^{\mathbb{N}}$ .

# Effective Closure Properties of Borel Classes

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**Proposition 14** *Let  $X, Y$  be computable metric spaces. The following operations are computable for any  $k \geq 1$ :*

1.  $\Sigma_k^0 \hookrightarrow \Sigma_{k+1}^0$ ,  $\Sigma_k^0 \hookrightarrow \Pi_{k+1}^0$ ,  $\Pi_k^0 \hookrightarrow \Sigma_{k+1}^0$ ,  $\Pi_k^0 \hookrightarrow \Pi_{k+1}^0$ ,  $A \mapsto A$  (injection)
2.  $\Sigma_k^0 \rightarrow \Pi_k^0$ ,  $\Pi_k^0 \rightarrow \Sigma_k^0$ ,  $A \mapsto A^c := X \setminus A$  (complement)
3.  $\Sigma_k^0 \times \Sigma_k^0 \rightarrow \Sigma_k^0$ ,  $\Pi_k^0 \times \Pi_k^0 \rightarrow \Pi_k^0$ ,  $(A, B) \mapsto A \cup B$  (union)
4.  $\Sigma_k^0 \times \Sigma_k^0 \rightarrow \Sigma_k^0$ ,  $\Pi_k^0 \times \Pi_k^0 \rightarrow \Pi_k^0$ ,  $(A, B) \mapsto A \cap B$  (intersection)
5.  $(\Sigma_k^0)^\mathbb{N} \rightarrow \Sigma_k^0$ ,  $(A_n)_{n \in \mathbb{N}} \mapsto \bigcup_{n=0}^\infty A_n$  (countable union)
6.  $(\Pi_k^0)^\mathbb{N} \rightarrow \Pi_k^0$ ,  $(A_n)_{n \in \mathbb{N}} \mapsto \bigcap_{n=0}^\infty A_n$  (countable intersection)
7.  $\Sigma_k^0(X) \times \Sigma_k^0(Y) \rightarrow \Sigma_k^0(X \times Y)$ ,  $(A, B) \mapsto A \times B$  (product)
8.  $(\Pi_k^0(X))^\mathbb{N} \rightarrow \Pi_k^0(X^\mathbb{N})$ ,  $(A_n)_{n \in \mathbb{N}} \mapsto \times_{n=0}^\infty A_n$  (countable product)
9.  $\Sigma_k^0(X \times \mathbb{N}) \rightarrow \Sigma_k^0(X)$ ,  $A \mapsto \{x \in X : (\exists n)(x, n) \in A\}$  (countable projection)
10.  $\Sigma_k^0(X \times Y) \times Y \rightarrow \Sigma_k^0(X)$ ,  $(A, y) \mapsto A_y := \{x \in X : (x, y) \in A\}$  (section)

# Borel Measurable Operations

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**Definition 15** Let  $X, Y$  be separable metric spaces. An operation  $f : X \rightarrow Y$  is called

- $\Sigma_k^0$ -*measurable*, if  $f^{-1}(U) \in \Sigma_k^0(X)$  for any  $U \in \Sigma_1^0(Y)$ ,

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- *effectively*  $\Sigma_k^0$ -*measurable* or  $\Sigma_k^0$ -*computable*, if the map

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**Definition 16** Let  $X, Y$  be separable metric spaces. We define representations  $\delta_{\Sigma_k^0(X \rightarrow Y)}$  of  $\Sigma_k^0(X \rightarrow Y)$  by

$$\delta_{\Sigma_k^0(X \rightarrow Y)}(p) = f : \iff [\delta_{\Sigma_1^0(Y)} \rightarrow \delta_{\Sigma_k^0(X)}](p) = \Sigma_k^0(f^{-1})$$

for all  $p \in \mathbb{N}^{\mathbb{N}}$ ,  $f : X \rightarrow Y$  and  $k \geq 1$ . Let  $\delta_{\Sigma_k^0(X \rightarrow Y)}$  denote the restriction to  $\Sigma_k^0(X \rightarrow Y)$ .

# Effective Closure Properties of Borel Measurable Operations

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**Proposition 17** *Let  $W, X, Y$  and  $Z$  be computable metric spaces. The following operations are computable for all  $n, k \geq 1$ :*

1.  $\Sigma_n^0(Y \rightarrow Z) \times \Sigma_k^0(X \rightarrow Y) \rightarrow \Sigma_{n+k-1}^0(X \rightarrow Z), (g, f) \mapsto g \circ f$  (*composition*)
2.  $\Sigma_k^0(X \rightarrow Y) \times \Sigma_k^0(X \rightarrow Z) \rightarrow \Sigma_k^0(X \rightarrow Y \times Z), (f, g) \mapsto (x \mapsto f(x) \times g(x))$  (*juxtaposition*)
3.  $\Sigma_k^0(X \rightarrow Y) \times \Sigma_k^0(W \rightarrow Z) \rightarrow \Sigma_k^0(X \times W \rightarrow Y \times Z), (f, g) \mapsto f \times g$  (*product*)
4.  $\Sigma_k^0(X \rightarrow Y^{\mathbb{N}}) \rightarrow \Sigma_k^0(X \times \mathbb{N} \rightarrow Y), f \mapsto f_*$  (*evaluation*)
5.  $\Sigma_k^0(X \times \mathbb{N} \rightarrow Y) \rightarrow \Sigma_k^0(X \rightarrow Y^{\mathbb{N}}), f \mapsto [f]$  (*transposition*)
6.  $\Sigma_k^0(X \rightarrow Y) \rightarrow \Sigma_k^0(X^{\mathbb{N}} \rightarrow Y^{\mathbb{N}}), f \mapsto f^{\mathbb{N}}$  (*exponentiation*)
7.  $\Sigma_k^0(X \times \mathbb{N} \rightarrow Y) \rightarrow \Sigma_k^0(X \rightarrow Y)^{\mathbb{N}}, f \mapsto (n \mapsto (x \mapsto f(x, n)))$  (*sequencing*)
8.  $\Sigma_k^0(X \rightarrow Y)^{\mathbb{N}} \rightarrow \Sigma_k^0(X \times \mathbb{N} \rightarrow Y), (f_n)_{n \in \mathbb{N}} \mapsto ((x, n) \mapsto f_n(x))$  (*de-sequencing*)

# Representation Theorem

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**Theorem 18** *Let  $X, Y$  be computable metric spaces,  $k \geq 1$  and let  $f : X \rightarrow Y$  be a total function. Then the following are equivalent:*

1.  *$f$  is (effectively)  $\Sigma_k^0$ -measurable,*
2.  *$f$  admits an (effectively)  $\Sigma_k^0$ -measurable realizer  $F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ .*

**Proof.**

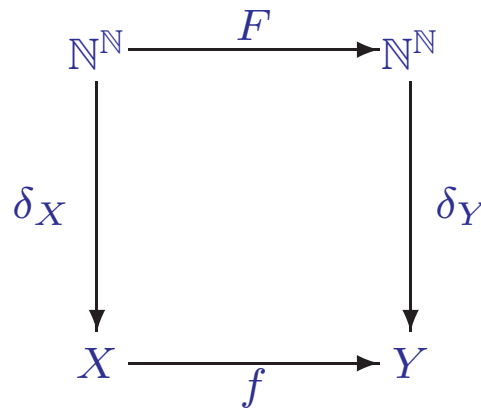
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**Proof.**



The proof is based on effective versions of the

- Kuratowski-Ryll-Nardzewski Selection Theorem,
- Bhattacharya-Srivastava Selection Theorem.

□



# Weihrauch Reducibility of Functions

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**Definition 19** Let  $X, Y, U, V$  be computable metric spaces and consider functions  $f : \subseteq X \rightarrow Y$  and  $g : \subseteq U \rightarrow V$ . We say that

- $f$  is *reducible* to  $g$ , for short  $f \leq_t g$ , if there are continuous functions  $A : \subseteq X \times V \rightarrow Y$  and  $B : \subseteq X \rightarrow U$  such that

$$f(x) = A(x, g \circ B(x))$$

for all  $x \in \text{dom}(f)$ ,

- $f$  is *computably reducible* to  $g$ , for short  $f \leq_c g$ , if there are computable  $A, B$  as above.
- The corresponding equivalences are denoted by  $\cong_t$  and  $\cong_c$ .

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- The corresponding equivalences are denoted by  $\cong_t$  and  $\cong_c$ .

**Proposition 20** *The following holds for all  $k \geq 1$ :*

1.  $f \leq_t g$  and  $g$  is  $\Sigma_k^0$ -measurable  $\implies f$  is  $\Sigma_k^0$ -measurable,
2.  $f \leq_c g$  and  $g$  is  $\Sigma_k^0$ -computable  $\implies f$  is  $\Sigma_k^0$ -computable.

# Completeness Theorem for Baire Space

---

**Definition 21** For any  $k \in \mathbb{N}$  we define  $C_k : \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  by

$$C_k(p)(n) := \begin{cases} 0 & \text{if } (\exists n_k)(\forall n_{k-1}) \dots p\langle n, n_1, \dots, n_k \rangle \neq 0 \\ 1 & \text{otherwise} \end{cases}$$

for all  $p \in \mathbb{N}^{\mathbb{N}}$  and  $n \in \mathbb{N}$ .

# Completeness Theorem for Baire Space

---

**Definition 21** For any  $k \in \mathbb{N}$  we define  $C_k : \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  by

$$C_k(p)(n) := \begin{cases} 0 & \text{if } (\exists n_k)(\forall n_{k-1}) \dots p\langle n, n_1, \dots, n_k \rangle \neq 0 \\ 1 & \text{otherwise} \end{cases}$$

for all  $p \in \mathbb{N}^{\mathbb{N}}$  and  $n \in \mathbb{N}$ .

**Theorem 22** Let  $k \in \mathbb{N}$ . For any function  $F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  we obtain:

1.  $F \leq_t C_k \iff F$  is  $\Sigma_{k+1}^0$ -measurable,
2.  $F \leq_c C_k \iff F$  is  $\Sigma_{k+1}^0$ -computable.

**Proof.** Employ the Tarski-Kuratowski Normal Form in the appropriate way. □

# Realizer Reducibility

---

**Definition 23** Let  $X, Y, U, V$  be computable metric spaces and consider functions  $f : X \rightarrow Y$  and  $g : U \rightarrow V$ . We define

$$f \preceq_t g : \iff f \delta_X \leq_t g \delta_U$$

and we say that  $f$  is *realizer reducible* to  $g$ , if this holds. Analogously, we define  $f \preceq_c g$  with  $\leq_c$  instead of  $\leq_t$ . The corresponding equivalences  $\approx_t$  and  $\approx_c$  are defined straightforwardly.

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**Theorem 24** Let  $X, Y$  be computable metric spaces and let  $k \in \mathbb{N}$ . For any function  $f : X \rightarrow Y$  we obtain:

1.  $f \preceq_t C_k \iff f$  is  $\Sigma_{k+1}^0$ -measurable,
2.  $f \preceq_c C_k \iff f$  is  $\Sigma_{k+1}^0$ -computable.

# Characterization of Realizer Reducibility

---

**Definition 25** Let  $X, Y, U, V$  be computable metric spaces, let  $\mathcal{F}$  be a set of functions  $F : X \rightarrow Y$  and let  $\mathcal{G}$  be a set of functions  $G : U \rightarrow V$ . We define

$$\mathcal{F} \leq_t \mathcal{G} \quad : \iff \quad (\exists A, B \text{ computable})(\forall G \in \mathcal{G})(\exists F \in \mathcal{F}) \\ (\forall x \in \text{dom}(F)) F(x) = A(x, GB(x)),$$

where  $A : \subseteq X \times V \rightarrow Y$  and  $B : \subseteq X \rightarrow U$ . Analogously, one can define  $\leq_c$  with computable  $A, B$ .

# Characterization of Realizer Reducibility

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$$\mathcal{F} \leq_t \mathcal{G} \quad : \iff \quad (\exists A, B \text{ computable})(\forall G \in \mathcal{G})(\exists F \in \mathcal{F}) \\ (\forall x \in \text{dom}(F)) F(x) = A(x, GB(x)),$$

where  $A : \subseteq X \times V \rightarrow Y$  and  $B : \subseteq X \rightarrow U$ . Analogously, one can define  $\leq_c$  with computable  $A, B$ .

**Proposition 26** Let  $X, Y, U, V$  be computable metric spaces and let  $f : X \rightarrow Y$  and  $g : U \rightarrow V$  be functions. Then

$$f \preceq_c g \iff \{F : F \vdash f\} \leq_c \{G : G \vdash g\}.$$

An analogous statement holds with respect to  $\preceq_t$  and  $\leq_t$ .



# Completeness of the Limit

---

**Proposition 27** *Let  $X$  be a computable metric space and consider  $c := \{(x_n)_{n \in \mathbb{N}} \in X^{\mathbb{N}} : (x_n)_{n \in \mathbb{N}} \in X^{\mathbb{N}} \text{ converges}\}$  as computable metric subspace of  $X^{\mathbb{N}}$ . The ordinary limit map*

$$\lim : c \rightarrow X, (x_n)_{n \in \mathbb{N}} \mapsto \lim_{n \rightarrow \infty} x_n$$

*is  $\Sigma_2^0$ -computable and it is even  $\Sigma_2^0$ -complete, if there is a computable embedding  $\iota : \{0, 1\}^{\mathbb{N}} \hookrightarrow X$ .*

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*is  $\Sigma_2^0$ -computable and it is even  $\Sigma_2^0$ -complete, if there is a computable embedding  $\iota : \{0, 1\}^{\mathbb{N}} \hookrightarrow X$ .*

**Proof.** On the one hand,  $\Sigma_2^0$ -computability follows from

$$\lim^{-1}(B(x, r)) = \left( \bigcup_{n=0}^{\infty} X^n \times \overline{B}(x, r - 2^{-n})^{\mathbb{N}} \right) \cap c \in \Sigma_2^0(c)$$

and on the other hand,  $\Sigma_2^0$ -completeness follows from

$$C_1 \leq_c \lim_{\{0,1\}^{\mathbb{N}}} \leq_c \lim_X .$$

□

# Lower Bounds for Unbounded Closed Linear Operators

---

**Theorem 28** *Let  $X, Y$  be computable Banach spaces and let  $f : \subseteq X \rightarrow Y$  be a closed linear and unbounded operator. Let  $(e_n)_{n \in \mathbb{N}}$  be a computable sequence in  $\text{dom}(f)$  whose linear span is dense in  $X$  and let  $f(e_n)_{n \in \mathbb{N}}$  be computable in  $Y$ . Then  $C_1 \leq_c f$ .*

# Lower Bounds for Unbounded Closed Linear Operators

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**Corollary 29 (First Main Theorem of Pour-El and Richards)** *Under the same assumptions as above  $f$  maps some computable input  $x \in X$  to a non-computable output  $f(x)$ .*

# Arithmetic Complexity of Points and the Invariance Theorem

---

**Definition 30** Let  $X$  be a computable metric space and let  $x \in X$ . Then  $x$  is called  $\Delta_n^0$ -*computable*, if there is a  $\Delta_n^0$ -computable  $p \in \mathbb{N}^{\mathbb{N}}$  such that  $x = \delta_X(p)$ .

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**Theorem 31** Let  $X, Y$  be computable metric spaces.

- If  $f : X \rightarrow Y$  is  $\Sigma_k^0$ -computable, then it maps  $\Delta_n^0$ -computable inputs  $x \in X$  to  $\Delta_{n+k-1}^0$ -computable outputs  $f(x) \in Y$ .

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- If  $f$  is even  $\Sigma_k^0$ -complete and  $k \geq 2$ , then there is some  $\Delta_n^0$ -computable input  $x \in X$  for any  $n \geq 1$  which is mapped to some  $\Delta_{n+k-1}^0$ -computable output  $f(x) \in Y$  which is not  $\Delta_{n+k-2}^0$ -computable.

# Arithmetic Complexity of Points and the Invariance Theorem

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- If  $f$  is even  $\Sigma_k^0$ -complete and  $k \geq 2$ , then there is some  $\Delta_n^0$ -computable input  $x \in X$  for any  $n \geq 1$  which is mapped to some  $\Delta_{n+k-1}^0$ -computable output  $f(x) \in Y$  which is not  $\Delta_{n+k-2}^0$ -computable.

**Corollary 32** An  $\Sigma_2^0$ -computable map  $f$  maps computable inputs  $x \in X$  to outputs  $f(x)$  that are computable in the halting problem  $\emptyset'$ . If  $f$  is even  $\Sigma_2^0$ -complete, then there is some computable  $x$  which is mapped to a non-computable  $f(x)$ .



# Completeness of Differentiation

---

**Proposition 33 (von Stein)** *Let  $\mathcal{C}^{(k)}[0, 1]$  be the computable metric subspace of  $\mathcal{C}[0, 1]$  which contains the  $k$ -times continuously differentiable functions  $f : [0, 1] \rightarrow \mathbb{R}$ . The operator of differentiation*

$$d^k : \mathcal{C}^{(k)}[0, 1] \rightarrow \mathcal{C}[0, 1], f \mapsto f^{(k)}$$

*is  $\Sigma_{k+1}^0$ -complete.*

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*is  $\Sigma_{k+1}^0$ -complete.*

**Corollary 34** *The operator of differentiation  $d : \mathcal{C}^{(1)}[0, 1] \rightarrow \mathcal{C}[0, 1]$  is  $\Sigma_2^0$ -complete.*

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**Corollary 34** *The operator of differentiation  $d : \mathcal{C}^{(1)}[0, 1] \rightarrow \mathcal{C}[0, 1]$  is  $\Sigma_2^0$ -complete.*

**Corollary 35 (Ho)** *The derivative  $f' : [0, 1] \rightarrow \mathbb{R}$  of any computable and continuously differentiable function  $f : [0, 1] \rightarrow \mathbb{R}$  is computable in the halting problem  $\emptyset'$ .*

# Completeness of Differentiation

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**Corollary 34** *The operator of differentiation  $d : \mathcal{C}^{(1)}[0, 1] \rightarrow \mathcal{C}[0, 1]$  is  $\Sigma_2^0$ -complete.*

**Corollary 35 (Ho)** *The derivative  $f' : [0, 1] \rightarrow \mathbb{R}$  of any computable and continuously differentiable function  $f : [0, 1] \rightarrow \mathbb{R}$  is computable in the halting problem  $\emptyset'$ .*

**Corollary 36 (Myhill)** *There exists a computable and continuously differentiable function  $f : [0, 1] \rightarrow \mathbb{R}$  whose derivative  $f' : [0, 1] \rightarrow \mathbb{R}$  is not computable.*

# Survey

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## 1. Basic Concepts

- Computable Analysis
- Computable Borel Measurability
- The Representation Theorem

## 2. Classification of Topological Operations

- Representations of Closed Subsets
- Topological Operations

## 3. Classification of Theorems from Functional Analysis

- Uniformity versus Non-Uniformity
- Open Mapping and Closed Graph Theorem
- Banach's Inverse Mapping Theorem
- Hahn-Banach Theorem

# Some Topological Operations

---

1. Union:  $\cup : \mathcal{A}(X) \times \mathcal{A}(X) \rightarrow \mathcal{A}(X), (A, B) \mapsto A \cup B,$
2. Intersection:  $\cap : \mathcal{A}(X) \times \mathcal{A}(X) \rightarrow \mathcal{A}(X), (A, B) \mapsto A \cap B,$
3. Complement:  $c : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto \overline{A^c},$
4. Interior:  $i : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto \overline{A^\circ},$
5. Difference:  $D : \mathcal{A}(X) \times \mathcal{A}(X) \rightarrow \mathcal{A}(X), (A, B) \mapsto \overline{A \setminus B},$
6. Symmetric Difference:  
 $\Delta : \mathcal{A}(X) \times \mathcal{A}(X) \rightarrow \mathcal{A}(X), (A, B) \mapsto \overline{A \Delta B},$
7. Boundary:  $\partial : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto \partial A,$
8. Derivative:  $d : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto A'.$

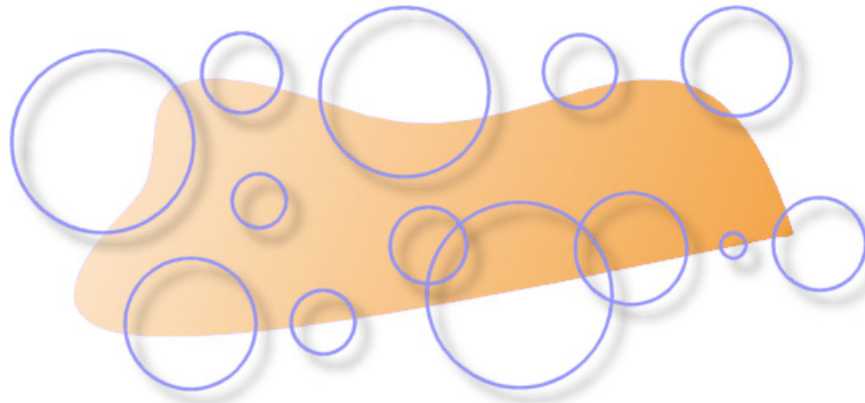
All results in the second part of the talk are based on joint work with Guido Gherardi, University of Siena, Italy.

## R.e. and Recursive Closed Subsets

---

**Definition 37** Let  $(X, d, \alpha)$  be a computable metric space and let  $A \subseteq X$  a closed subset. Then

- $A$  is called *r.e. closed*, if  $\{(n, r) \in \mathbb{N} \times \mathbb{Q} : A \cap B(\alpha(n), r) \neq \emptyset\}$  is r.e.
- $A$  is called *co-r.e. closed*, if there exists an r.e. set  $I \subseteq \mathbb{N} \times \mathbb{Q}$  such that  $X \setminus A = \bigcup_{(n,r) \in I} B(\alpha(n), r)$ .
- $A$  is called *recursive closed*, if  $A$  is r.e. and co-r.e. closed.

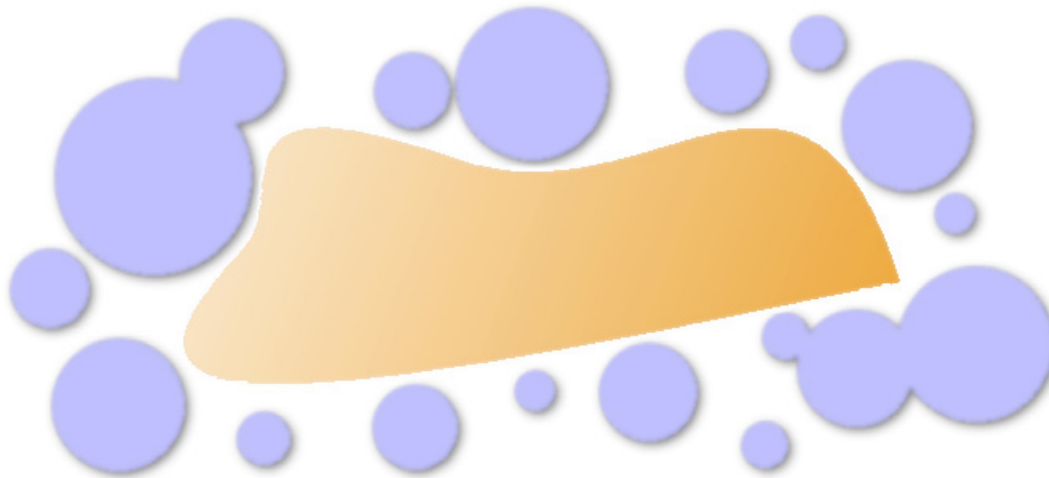


## R.e. and Recursive Closed Subsets

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- $A$  is called *recursive closed*, if  $A$  is r.e. and co-r.e. closed.





# Some Hyperspace Representations

---

**Definition 39** Let  $(X, d, \alpha)$  be a computable metric space. We define representations of  $\mathcal{A}(X) := \{A \subseteq X : A \text{ closed and non-empty}\}$ :

1.  $\psi_+(p) = A : \iff p$  is a “list” of all  $\langle n, k \rangle$  with  $A \cap B(\alpha(n), \bar{k}) \neq \emptyset$ ,
2.  $\psi_-(p) = A : \iff p$  is a “list” of  $\langle n_i, k_i \rangle$  with  $X \setminus A = \bigcup_{i=0}^{\infty} B(\alpha(n_i), \bar{k}_i)$ ,
3.  $\psi\langle p, q \rangle = A : \iff \psi_+(p) = A$  and  $\psi_-(q) = A$ ,

for all  $p, q \in \mathbb{N}^{\mathbb{N}}$  and  $A \in \mathcal{A}(X)$ .

# Some Hyperspace Representations

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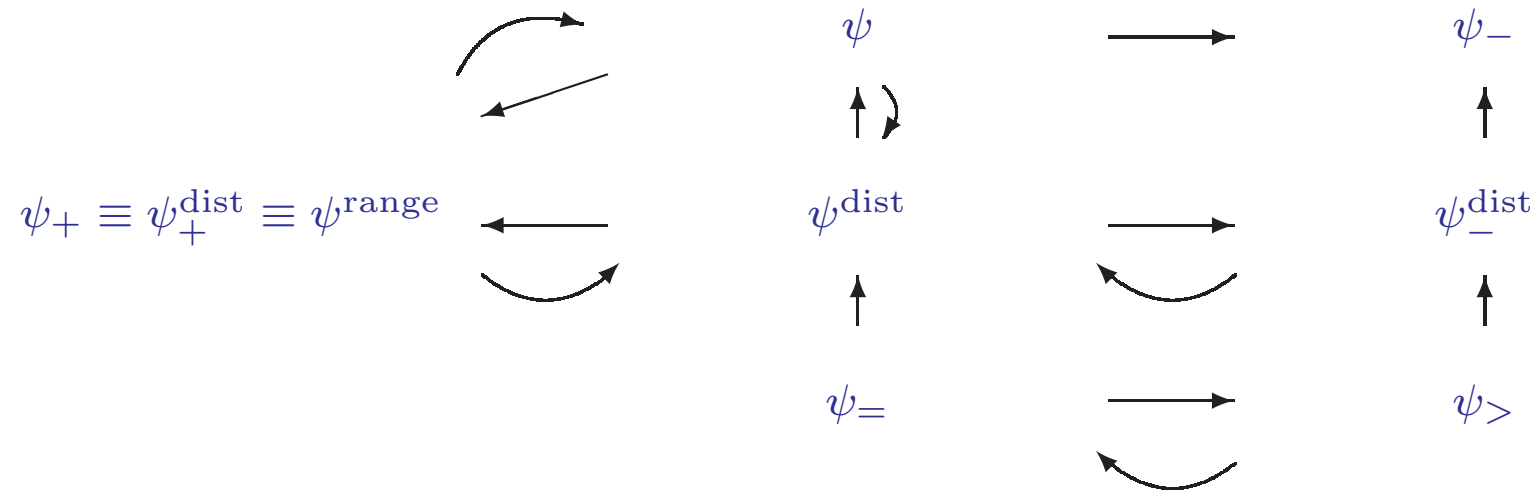
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3.  $\psi\langle p, q \rangle = A : \iff \psi_+(p) = A$  and  $\psi_-(q) = A$ ,

for all  $p, q \in \mathbb{N}^{\mathbb{N}}$  and  $A \in \mathcal{A}(X)$ .

- Remark 40**
- *The representation  $\psi_+$  of  $\mathcal{A}(\mathbb{R}^n)$  is admissible with respect to the lower Fell topology (with subbase elements  $\{A : A \cap U \neq \emptyset\}$  for any open  $U$ ). The computable points are exactly the r.e. closed subsets.*
  - *The representation  $\psi_-$  of  $\mathcal{A}(\mathbb{R}^n)$  is admissible with respect to the upper Fell topology (with subbase elements  $\{A : A \cap K = \emptyset\}$  for any compact  $K$ ). The computable points are exactly the co-r.e. closed subsets.*
  - *The representation  $\psi$  of  $\mathcal{A}(\mathbb{R}^n)$  is admissible with respect to the Fell topology. The computable points are exactly the recursive closed subsets.*

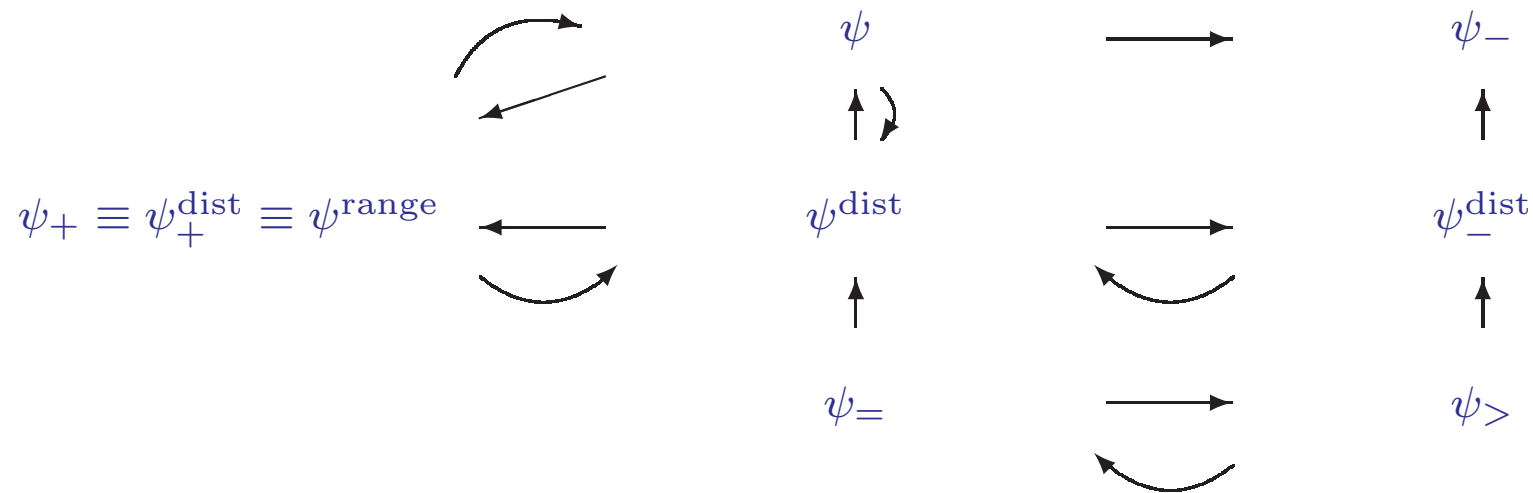
# Borel Lattice of Closed Set Representations for Polish Spaces

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# Borel Lattice of Closed Set Representations for Polish Spaces

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- Straight arrows stand for computable reductions.
- Curved arrows stand for  $\Sigma_2^0$ -computable reductions.



# Intersection

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**Theorem 41** *Let  $X$  be a computable metric space. Then intersection  $\cap : \mathcal{A}(X) \times \mathcal{A}(X) \rightarrow \mathcal{A}(X), (A, B) \mapsto A \cap B$  is*

1. *computable with respect to  $(\psi_-, \psi_-, \psi_-)$ ,*
2.  *$\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_+, \psi_-)$ ,*
3.  *$\Sigma_2^0$ -computable w.r.t.  $(\psi_-, \psi_-, \psi)$ , if  $X$  is effectively locally compact,*
4.  *$\Sigma_3^0$ -computable w.r.t.  $(\psi_+, \psi_+, \psi)$ , if  $X$  is effectively locally compact,*
5.  *$\Sigma_3^0$ -hard with respect to  $(\psi_+, \psi_+, \psi_+)$ , if  $X$  is complete and perfect,*
6.  *$\Sigma_2^0$ -hard with respect to  $(\psi, \psi, \psi_+)$ , if  $X$  is complete and perfect,*
7. *not Borel measurable w.r.t.  $(\psi, \psi, \psi_+)$ , if  $X$  is complete but not  $K_\sigma$ .*

# Closure of the Complement

---

**Theorem 42** *Let  $(X, d)$  be a computable metric space. Then the closure of the complement  $c : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto \overline{A^c}$  is*

1. *computable with respect to  $(\psi_-, \psi_+)$ ,*
2.  *$\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi_-, \psi)$ ,*
3.  *$\Sigma_2^0$ -complete with respect to  $(\psi_+, \psi_+)$ , if  $X$  is complete and perfect,*
4.  *$\Sigma_2^0$ -complete with respect to  $(\psi, \psi_-)$ , if  $X$  is complete, perfect and proper.*

# Closure of the Complement

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**Theorem 42** *Let  $(X, d)$  be a computable metric space. Then the closure of the complement  $c : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto \overline{A^c}$  is*

1. *computable with respect to  $(\psi_-, \psi_+)$ ,*
2.  *$\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi_-, \psi)$ ,*
3.  *$\Sigma_2^0$ -complete with respect to  $(\psi_+, \psi_+)$ , if  $X$  is complete and perfect,*
4.  *$\Sigma_2^0$ -complete with respect to  $(\psi, \psi_-)$ , if  $X$  is complete, perfect and proper.*

**Corollary 43** *Let  $X$  be a computable, perfect and proper Polish space. Then there exists a recursive closed  $A \subseteq X$  such that  $\overline{A^c}$  is not co-r.e. closed, but  $\overline{A^c}$  is always co-r.e. closed in the halting problem  $\emptyset'$ . There exists a r.e. closed  $A \subseteq X$  such that  $\overline{A^c}$  is not r.e. closed, but  $\overline{A^c}$  is always r.e. closed in the halting problem  $\emptyset'$ .*



# Closure of the Interior

---

**Theorem 44** *Let  $X$  be a computable metric space. Then the closure of the interior  $i : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto \overline{A^\circ}$  is*

1.  $\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi_+)$ ,
2.  $\Sigma_3^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi_-, \psi)$ ,
3.  $\Sigma_3^0$ -complete with respect to  $(\psi_+, \psi_+)$ , if  $X$  is complete and perfect,
4.  $\Sigma_3^0$ -complete with respect to  $(\psi, \psi_-)$ , if  $X$  is complete, perfect and proper,
5.  $\Sigma_2^0$ -complete with respect to  $(\psi, \psi_+)$ , if  $X$  is complete, perfect and proper.

## Closure of the Interior

---

**Theorem 44** *Let  $X$  be a computable metric space. Then the closure of the interior  $i : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto \overline{A^\circ}$  is*

1.  $\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi_+)$ ,
2.  $\Sigma_3^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi_-, \psi)$ ,
3.  $\Sigma_3^0$ -complete with respect to  $(\psi_+, \psi_+)$ , if  $X$  is complete and perfect,
4.  $\Sigma_3^0$ -complete with respect to  $(\psi, \psi_-)$ , if  $X$  is complete, perfect and proper,
5.  $\Sigma_2^0$ -complete with respect to  $(\psi, \psi_+)$ , if  $X$  is complete, perfect and proper.

**Corollary 45** *Let  $X$  be a computable, perfect and proper Polish space. Then there exists a recursive closed  $A \subseteq X$  such that  $\overline{A^\circ}$  is not r.e. closed, but  $\overline{A^\circ}$  is always r.e. closed in the halting problem  $\emptyset'$ . There exists a recursive closed  $A \subseteq X$  such that  $\overline{A^\circ}$  is not even co-r.e. closed in the halting problem  $\emptyset'$ , but  $\overline{A^\circ}$  is always co-r.e. closed in  $\emptyset''$ .*

# Boundary

---

**Theorem 46** *Let  $X$  be a computable metric space. Then the boundary  $\partial : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto \partial A$  is*

1. *computable with respect to  $(\psi, \psi_+)$ , if  $X$  is effectively locally connected,*
2.  *$\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_+)$  and  $(\psi, \psi)$ , if  $X$  is effectively locally connected,*
3.  *$\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi_-)$ ,*
4.  *$\Sigma_3^0$ -computable w.r.t.  $(\psi_-, \psi)$ , if  $X$  is effectively locally compact,*
5.  *$\Sigma_2^0$ -computable with respect to  $(\psi_-, \psi)$ , if  $X$  is effectively locally connected and effectively locally compact,*
6.  *$\Sigma_2^0$ -complete w.r.t.  $(\psi, \psi_-)$ , if  $X$  is complete, perfect and proper,*
7.  *$\Sigma_3^0$ -complete with respect to  $(\psi, \psi_+)$ , if  $X = \{0, 1\}^{\mathbb{N}}$ ,*
8. *not Borel measurable with respect to  $(\psi, \psi_+)$ , if  $X = \mathbb{N}^{\mathbb{N}}$ .*

# Boundary

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**Theorem 46** *Let  $X$  be a computable metric space. Then the boundary  $\partial : \mathcal{A}(X) \rightarrow \mathcal{A}(X)$ ,  $A \mapsto \partial A$  is*

1. *computable with respect to  $(\psi, \psi_+)$ , if  $X$  is effectively locally connected,*
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7.  *$\Sigma_3^0$ -complete with respect to  $(\psi, \psi_+)$ , if  $X = \{0, 1\}^{\mathbb{N}}$ ,*
8. *not Borel measurable with respect to  $(\psi, \psi_+)$ , if  $X = \mathbb{N}^{\mathbb{N}}$ .*

**Corollary 47** *Let  $X$  be a computable, perfect and proper Polish space. Then there exists a recursive closed  $A \subseteq X$  such that  $\partial A$  is not co-r.e. closed, but  $\partial A$  is always co-r.e. closed in the halting problem  $\emptyset'$ .*

# Derivative

---

**Theorem 48** *Let  $X$  be a computable metric space. Then the derivative  $d : \mathcal{A}(X) \rightarrow \mathcal{A}(X), A \mapsto A'$  is*

1.  $\Sigma_2^0$ -computable with respect to  $(\psi_+, \psi_-)$ ,
2.  $\Sigma_3^0$ -computable with respect to  $(\psi_+, \psi)$  and  $(\psi_-, \psi_-)$ , if  $X$  is effectively locally compact,
3.  $\Sigma_2^0$ -complete with respect to  $(\psi, \psi_-)$ , if  $X$  is complete and perfect,
4.  $\Sigma_3^0$ -hard with respect to  $(\psi_-, \psi_-)$ , if  $X$  is complete and perfect,
5.  $\Sigma_3^0$ -hard with respect to  $(\psi, \psi_+)$ , if  $X$  is complete and perfect,
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**Theorem 48** *Let  $X$  be a computable metric space. Then the derivative  $d : \mathcal{A}(X) \rightarrow \mathcal{A}(X)$ ,  $A \mapsto A'$  is*

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6. not Borel measurable with respect to  $(\psi, \psi_+)$ , if  $X$  is complete but not  $K_\sigma$ .

**Corollary 49** *Let  $X$  be a computable and perfect Polish space. Then there exists a recursive closed  $A \subseteq X$  such that  $A'$  is not r.e. closed in the halting problem  $\emptyset'$ , but any such  $A'$  is co-r.e. closed in the halting problem  $\emptyset'$ .*

# Survey on Results

	$\mathbb{N}$	$\{0, 1\}^{\mathbb{N}}$	$\mathbb{N}^{\mathbb{N}}$	$[0, 1]$	$[0, 1]^{\mathbb{N}}$	$\mathbb{R}^n$	$\mathbb{R}^{\mathbb{N}}$	$\ell_2$	$\mathcal{C}[0, 1]$
$A \cup B$	1	1	1	1	1	1	1	1	1
$A \cap B$	1	2	$\infty$	2	2	2	$\infty$	$\infty$	$\infty$
$\overline{A^c}$	1	2	2	2	2	2	2	2	2
$\overline{A^\circ}$	1	3	3	3	3	3	3	3	3
$\overline{A \setminus B}$	1	2	2	2	2	2	2	2	2
$\overline{A \Delta B}$	1	2	2	2	2	2	2	2	2
$\partial A$	1	3	$\infty$	2	2	2	2	2	2
$A'$	1	3	$\infty$	3	3	3	$\infty$	$\infty$	$\infty$

Degrees of computability with respect to  $\psi$

# Survey

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## 1. Basic Concepts

- Computable Analysis
- Computable Borel Measurability
- The Representation Theorem

## 2. Classification of Topological Operations

- Representations of Closed Subsets
- Topological Operations

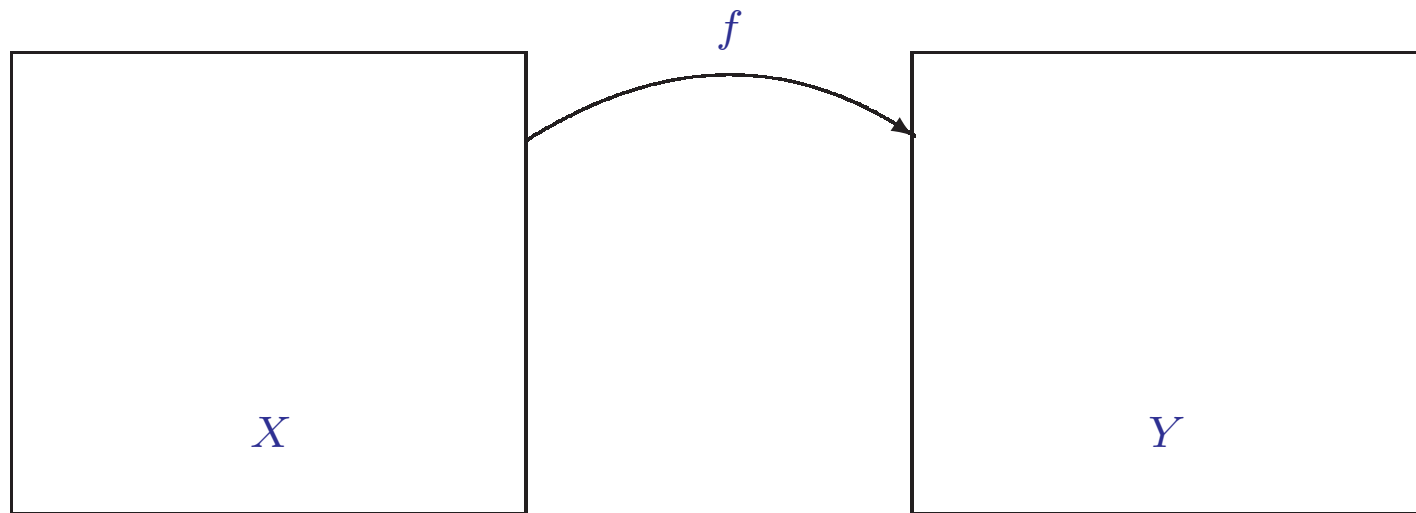
## 3. Classification of Theorems from Functional Analysis

- Uniformity versus Non-Uniformity
- Open Mapping and Closed Graph Theorem
- Banach's Inverse Mapping Theorem
- Hahn-Banach Theorem



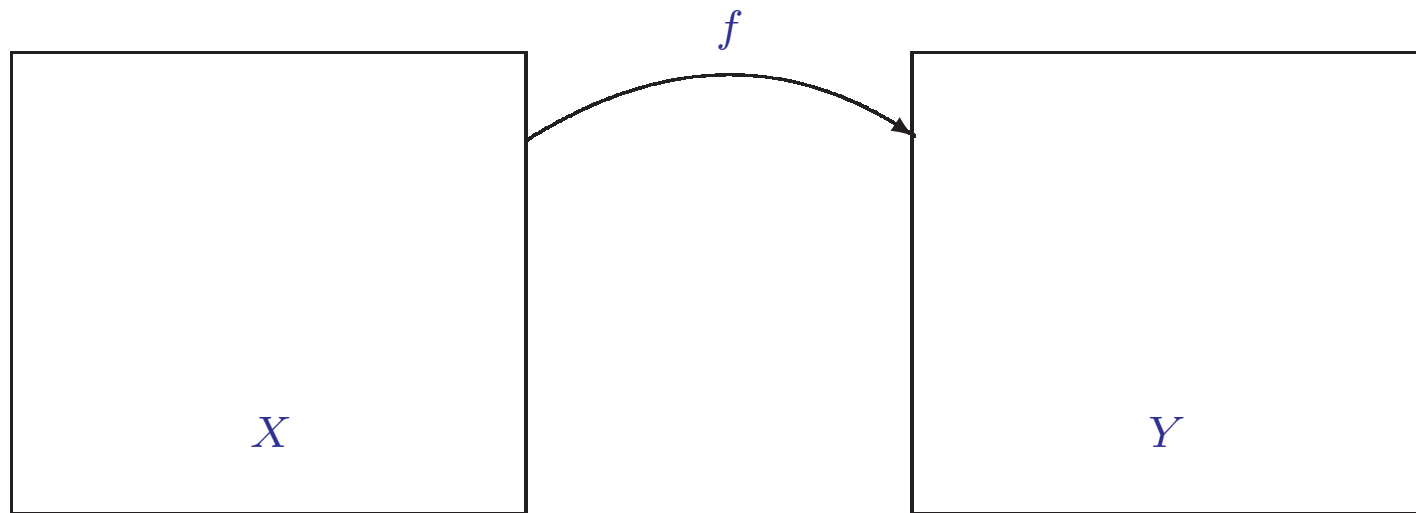
# Uniform and Non-Uniform Computability

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# Uniform and Non-Uniform Computability

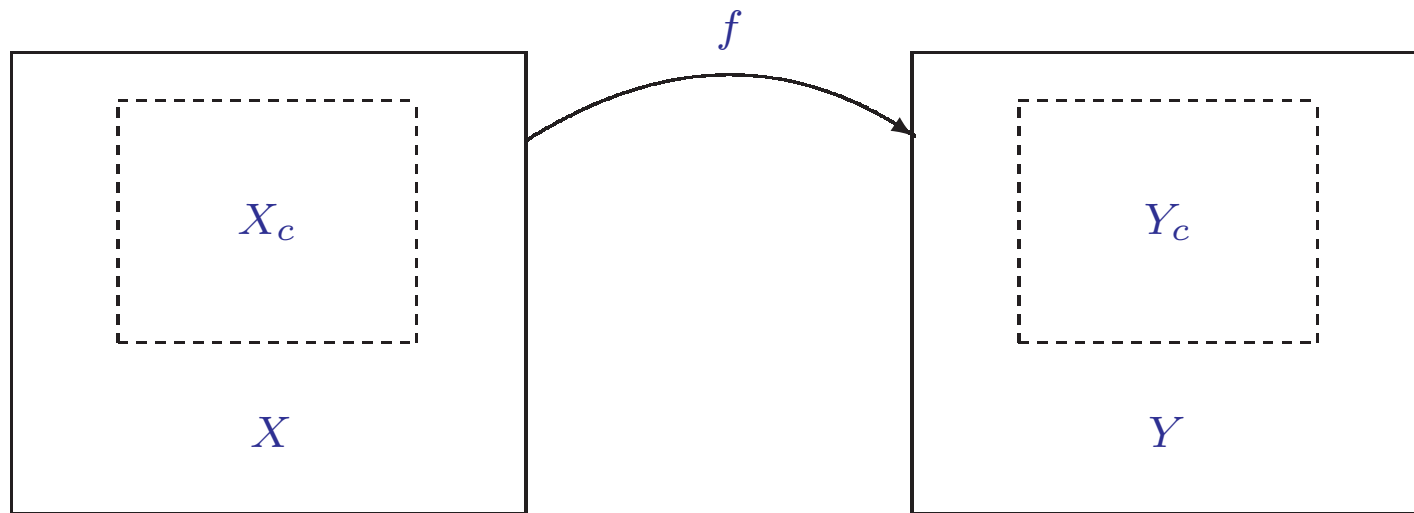
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- *Uniform Computability:* The function  $f : X \rightarrow Y$  is computable.

# Uniform and Non-Uniform Computability

---



- **Uniform Computability:** The function  $f : X \rightarrow Y$  is computable.
- **Non-Uniform Computability:** The function  $f$  maps computable elements to computable elements (i.e.  $f(X_c) \subseteq f(Y_c)$ ).

# Banach's Inverse Mapping Theorem

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**Definition 50** A Banach space or a normed space  $X$  together with a dense sequence is called *computable* if the induced metric space is a computable metric space.

# Banach's Inverse Mapping Theorem

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**Theorem 51** *Let  $X, Y$  be Banach spaces and let  $T : X \rightarrow Y$  be a linear operator. If  $T$  is bijective and bounded, then  $T^{-1} : Y \rightarrow X$  is bounded.*

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**Theorem 51** Let  $X, Y$  be Banach spaces and let  $T : X \rightarrow Y$  be a linear operator. If  $T$  is bijective and bounded, then  $T^{-1} : Y \rightarrow X$  is bounded.

**Question:** Given  $X$  and  $Y$  are computable Banach spaces, which of the following properties hold true under the assumptions of the theorem:

1. *Non-uniform inversion problem:*

$T$  computable  $\implies T^{-1}$  computable?

2. *Uniform inversion problem:*

$T \mapsto T^{-1}$  computable?

# Banach's Inverse Mapping Theorem

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**Definition 51** A Banach space or a normed space  $X$  together with a dense sequence is called *computable* if the induced metric space is a computable metric space.

**Theorem 52** Let  $X, Y$  be Banach spaces and let  $T : X \rightarrow Y$  be a linear operator. If  $T$  is bijective and bounded, then  $T^{-1} : Y \rightarrow X$  is bounded.

**Question:** Given  $X$  and  $Y$  are computable Banach spaces, which of the following properties hold true under the assumptions of the theorem:

1. *Non-uniform inversion problem:*

$T$  computable  $\implies T^{-1}$  computable? Yes!

2. *Uniform inversion problem:*

$T \mapsto T^{-1}$  computable? No!

# An Initial Value Problem

---

**Theorem 53** Let  $f_0, \dots, f_n : [0, 1] \rightarrow \mathbb{R}$  be computable functions with  $f_n \neq 0$ . The solution operator  $L : \mathcal{C}[0, 1] \times \mathbb{R}^n \rightarrow \mathcal{C}^{(n)}[0, 1]$  which maps each tuple  $(y, a_0, \dots, a_{n-1}) \in \mathcal{C}[0, 1] \times \mathbb{R}^n$  to the unique function  $x = L(y, a_0, \dots, a_{n-1})$  with

$$\sum_{i=0}^n f_i(t)x^{(i)}(t) = y(t) \text{ with } x^{(j)}(0) = a_j \text{ for } j = 0, \dots, n-1,$$

is computable.



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is computable.

**Proof.** The following operator is linear and computable:

$$L^{-1} : \mathcal{C}^{(n)}[0, 1] \rightarrow \mathcal{C}[0, 1] \times \mathbb{R}^n, x \mapsto \left( \sum_{i=0}^n f_i x^{(i)}, x^{(0)}(0), \dots, x^{(n-1)}(0) \right)$$

Computability follows since the  $i$ -th differentiation operator is computable. By the computable Inverse Mapping Theorem it follows that  $L$  is computable too.  $\square$

# Non-Constructive Existence Proofs of Algorithms

---

- The inverse  $T^{-1} : Y \rightarrow X$  of any bijective and computable linear operator  $T : X \rightarrow Y$  is computable.

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- Since this effective version can also be applied to function spaces, it yields a simple proof method which guarantees the algorithmic solvability of certain uniform problems (e.g. differential equations).

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- Since this effective version can also be applied to function spaces, it yields a simple proof method which guarantees the algorithmic solvability of certain uniform problems (e.g. differential equations).
- This method is highly non-constructive: the existence of algorithms is ensured without any hint how they could look like.
- In the finite dimensional case the method is even constructive: an algorithm of  $T^{-1}$  can be effectively determined from an algorithm of  $T$ .

# Operator Spaces in Computable Functional Analysis

---

- It is known that the map  $\text{Inv} : \mathcal{B}(X, Y) \rightarrow \mathcal{B}(Y, X), T \mapsto T^{-1}$  is continuous with respect to the operator norm  $\|T\| := \sup_{\|x\|=1} \|Tx\|$   
(Banach's Uniform Inversion Theorem)



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- We consider the inversion  $\text{Inv} : \subseteq \mathcal{C}(X, Y) \rightarrow \mathcal{C}(Y, X), T \mapsto T^{-1}$  with respect to  $[\delta_X \rightarrow \delta_Y]$  (that is, with respect to the compact-open topology). In this sense, inversion is discontinuous.

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- However, the space  $\mathcal{B}(X, Y)$  of bounded linear operators is not separable in general and thus no admissible representation exists in general.
- A  $[\delta_X \rightarrow \delta_Y]$  name of an operator  $T : X \rightarrow Y$  does only contain lower information on  $\|T\|$  and *some* upper bound.
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- However,  $\|\cdot\| : \subseteq \mathcal{C}(X, Y) \rightarrow \mathbb{R}, T \mapsto \|T\|$  is lower semi-computable.

# Uniformity of Banach's Inverse Mapping Theorem

---

**Theorem 54** *Let  $X, Y$  be computable normed spaces. The map*

$$\iota : \subseteq \mathcal{C}(X, Y) \times \mathbb{R} \rightarrow \mathcal{C}(Y, X), (T, s) \mapsto T^{-1},$$

*defined for all  $(T, s)$  such that  $T : X \rightarrow Y$  is a linear bounded and bijective operator such that  $\|T^{-1}\| \leq s$ , is computable.*

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**Corollary 55** *Let  $X, Y$  be computable normed spaces. The map*

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**Proof.** The map  $\text{id} : \mathbb{R}_{<} \rightarrow \mathbb{R}_{>}$  is  $\Sigma_2^0$ -computable and

$$\|\text{Inv}\| : \subseteq \mathcal{C}(X, Y) \rightarrow \mathbb{R}_{<}, T \mapsto \|T^{-1}\| = \sup_{\|Tx\| \leq 1} \|x\|$$

is computable. Altogether, this implies that  $\text{Inv}$  is  $\Sigma_2^0$ -computable.  $\square$

# Computable Linear Operators

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**Theorem 56** *Let  $X, Y$  be computable normed spaces, let  $T : X \rightarrow Y$  be a linear operator and let  $(e_n)_{n \in \mathbb{N}}$  be a computable sequence in  $X$  whose linear span is dense in  $X$ . Then the following are equivalent:*

1.  $T : X \rightarrow Y$  is computable,
2.  $(T(e_n))_{n \in \mathbb{N}}$  is computable and  $T$  is bounded,
3.  $T$  maps computable sequences to computable sequences and is bounded,
4.  $\text{graph}(T)$  is a recursive closed subset of  $X \times Y$  and  $T$  is bounded,
5.  $\text{graph}(T)$  is an r.e. closed subset of  $X \times Y$  and  $T$  is bounded.

*In case that  $X$  and  $Y$  are even Banach spaces, one can omit boundedness in the last two cases.*



# The Uniform Closed Graph Theorem

---

**Theorem 57** *Let  $X, Y$  be computable normed spaces. Then*

$$\text{graph} : \mathcal{C}(X, Y) \rightarrow \mathcal{A}(X \times Y), f \mapsto \text{graph}(f)$$

*is computable. The partial inverse  $\text{graph}^{-1}$ , defined for linear bounded operators, is  $\Sigma_2^0$ -computable.*

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*is computable. The partial inverse  $\text{graph}^{-1}$ , defined for linear bounded operators, is  $\Sigma_2^0$ -computable.*

**Proof.** The following maps have the following computability properties:

- $\gamma : \subseteq \mathcal{A}(X \times Y) \times \mathbb{R} \rightarrow \mathcal{C}(X, Y), (\text{graph}(T), s) \mapsto T$  is computable, (and defined for all graphs of linear bounded  $T$  such that  $\|T\| \leq s$ ),
- $N : \subseteq \mathcal{A}(X \times Y) \rightarrow \mathbb{R}_{<}, \text{graph}(T) \mapsto \|T\| = \sup_{\|x\| \leq 1} \|Tx\|$  is computable (and defined for all graphs of linear bounded  $T$ ),
- $\text{id} : \mathbb{R}_{<} \rightarrow \mathbb{R}_{>}$  is  $\Sigma_2^0$ -computable.

□

# The Open Mapping Theorem

---

**Theorem 58** *Let  $X, Y$  be Banach spaces. If  $T : X \rightarrow Y$  is a linear bounded and surjective operator, then  $T$  is open, i.e.  $T(U) \subseteq Y$  is open for any open  $U \subseteq X$ .*

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**Question:** Given  $X$  and  $Y$  are computable Banach spaces, which of the following properties hold true under the assumptions of the theorem:

1.  $U \subseteq X$  r.e. open  $\implies T(U) \subseteq Y$  r.e. open?
2.  $\mathcal{O}(T) : \mathcal{O}(X) \rightarrow \mathcal{O}(Y), U \mapsto T(U)$  is computable?
3.  $T \mapsto \mathcal{O}(T)$  is computable?

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Note the different levels of uniformity: the Open Mapping Theorem is uniformly computable in  $U$  but only non-uniformly computable in  $T$ .

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Note the different levels of uniformity: the Open Mapping Theorem is uniformly computable in  $U$  but only non-uniformly computable in  $T$ .

- $T \mapsto \mathcal{O}(T)$  is  $\Sigma_2^0$ -computable.

# The Hahn-Banach Theorem

---

**Theorem 59 (Hahn-Banach Theorem)** *Let  $X$  be a normed space and  $Y \subseteq X$  a linear subspace. Any linear bounded functional  $f : Y \rightarrow \mathbb{R}$  admits a linear bounded extension  $g : X \rightarrow \mathbb{R}$  with  $\|g\| = \|f\|$ .*



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**Question:** Given  $X$  and  $Y$  are computable normed spaces, which of the following properties hold true under the assumptions of the theorem:

1. *Non-uniform version:*

$f$  computable  $\implies \exists$  a computable extension  $g$ ?

2. *Uniform version (potentially multi-valued):*

$f \mapsto g$  computable?

# The Hahn-Banach Theorem

---

**Theorem 59 (Hahn-Banach Theorem)** *Let  $X$  be a normed space and  $Y \subseteq X$  a linear subspace. Any linear bounded functional  $f : Y \rightarrow \mathbb{R}$  admits a linear bounded extension  $g : X \rightarrow \mathbb{R}$  with  $\|g\| = \|f\|$ .*

**Question:** Given  $X$  and  $Y$  are computable normed spaces, which of the following properties hold true under the assumptions of the theorem:

1. *Non-uniform version:*

$f$  computable  $\implies \exists$  a computable extension  $g$ ? No!

2. *Uniform version (potentially multi-valued):*

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A counterexample is due to Nerode, Metakides and Shore (1985).

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$f \mapsto g$  computable? No!

A counterexample is due to Nerode, Metakides and Shore (1985).

Nerode and Metakides also proved that the non-uniform version is computable in the finite dimensional case.

# The Finite-Dimensional Case

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**Theorem 60 (Metakides and Nerode)** *Let  $X$  be a finite-dimensional computable Banach space with some closed linear subspace  $Y \subseteq X$ . For any computable linear functional  $f : Y \rightarrow \mathbb{R}$  with computable norm  $\|f\|$  there exists a computable linear extension  $g : X \rightarrow \mathbb{R}$  with  $\|g\| = \|f\|$ .*

# The Finite-Dimensional Case

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**Theorem 60 (Metakides and Nerode)** *Let  $X$  be a finite-dimensional computable Banach space with some closed linear subspace  $Y \subseteq X$ . For any computable linear functional  $f : Y \rightarrow \mathbb{R}$  with computable norm  $\|f\|$  there exists a computable linear extension  $g : X \rightarrow \mathbb{R}$  with  $\|g\| = \|f\|$ .*

**Lemma 61** *Let  $(X, \|\cdot\|)$  be a normed space,  $Y \subseteq X$  a linear subspace,  $x \in X$  and let  $Z$  be the linear subspace generated by  $Y \cup \{x\}$ . Let  $f : Y \rightarrow \mathbb{R}$  be a linear functional with  $\|f\| = 1$ . A functional  $g : Z \rightarrow \mathbb{R}$  with  $g|_Y = f|_Y$  is a linear extension of  $f$  with  $\|g\| = 1$ , if and only if*

$$\sup_{u \in Y} (f(u) - \|x - u\|) \leq g(x) \leq \inf_{v \in Y} (f(v) + \|x - v\|).$$

# Computable Hilbert Spaces

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**Definition 62** A computable Hilbert space is a computable Banach space which is a Hilbert space (i.e. whose norm is induced by a scalar product).

# Computable Hilbert Spaces

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**Theorem 63 (Hahn-Banach Theorem)** *Let  $X$  be a Hilbert space and  $Y \subseteq X$  a linear subspace. Any linear bounded functional  $f : Y \rightarrow \mathbb{R}$  admits a uniquely determined linear bounded extension  $g : X \rightarrow \mathbb{R}$  with  $\|g\| = \|f\|$ .*

**Question:** Given  $X$  and  $Y$  are computable Hilbert spaces, which of the following properties hold true:

1. *Non-uniform version:*

$f$  computable  $\implies \exists$  a computable extension  $g$ ? Yes!

2. *Uniform version (potentially multi-valued):*

$f \mapsto g$  computable? Yes!



# Survey on Results

	non-uniform		uniform	
dimension	finite	infinite	finite	infinite

## Banach spaces

Open Mapping Theorem	computable		computable	$\Sigma_2^0$ -computable
Banach's Inverse Mapping Theorem	computable		computable	$\Sigma_2^0$ -computable
Closed Graph Theorem	computable		computable	$\Sigma_2^0$ -computable
Hahn-Banach Theorem	computable	$\Sigma_2^0$ -computable	$\Sigma_2^0$ -computable	

## Hilbert spaces

Hahn-Banach Theorem	computable		computable	
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The realizers of these theorems are not  $\Sigma_2^0$ -complete in general.

# Survey on Different Types of Effective Mathematics

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## Effective Mathematics

constructive analysis

reverse analysis over  $RCA_0$

computable analysis

## Uniformity

fully uniform

non-uniform

flexible uniformity

## Degrees of Effectivity

principles of omniscience

comprehension axioms

effective Borel classes

# Survey on Different Types of Effective Mathematics

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constructive analysis

reverse analysis over  $RCA_0$

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There are other variants of the aforementioned theories:

- Uniform reverse analysis (Kohlenbach) allows to express higher degrees of uniformity.

# Survey on Different Types of Effective Mathematics

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<b>Effective Mathematics</b>	<b>Uniformity</b>	<b>Degrees of Effectivity</b>
constructive analysis	fully uniform	principles of omniscience
reverse analysis over $RCA_0$	non-uniform	comprehension axioms
computable analysis	flexible uniformity	effective Borel classes

There are other variants of the aforementioned theories:

- Uniform reverse analysis (Kohlenbach) allows to express higher degrees of uniformity.
- Reverse analysis with intuitionistic logic (Ishihara) is automatically fully uniform.

# Survey on Different Types of Effective Mathematics

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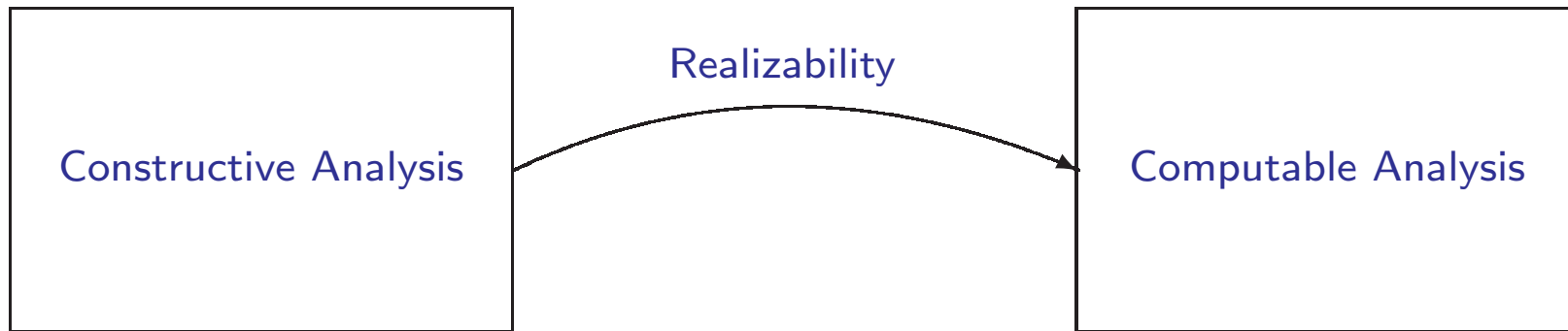
<b>Effective Mathematics</b>	<b>Uniformity</b>	<b>Degrees of Effectivity</b>
constructive analysis	fully uniform	principles of omniscience
reverse analysis over $RCA_0$	non-uniform	comprehension axioms
computable analysis	flexible uniformity	effective Borel classes

There are other variants of the aforementioned theories:

- Uniform reverse analysis (Kohlenbach) allows to express higher degrees of uniformity.
- Reverse analysis with intuitionistic logic (Ishihara) is automatically fully uniform.
- Constructive analysis allows to retranslate non-uniform results into (more complicated) double negation statements that might be provable intuitionistically.

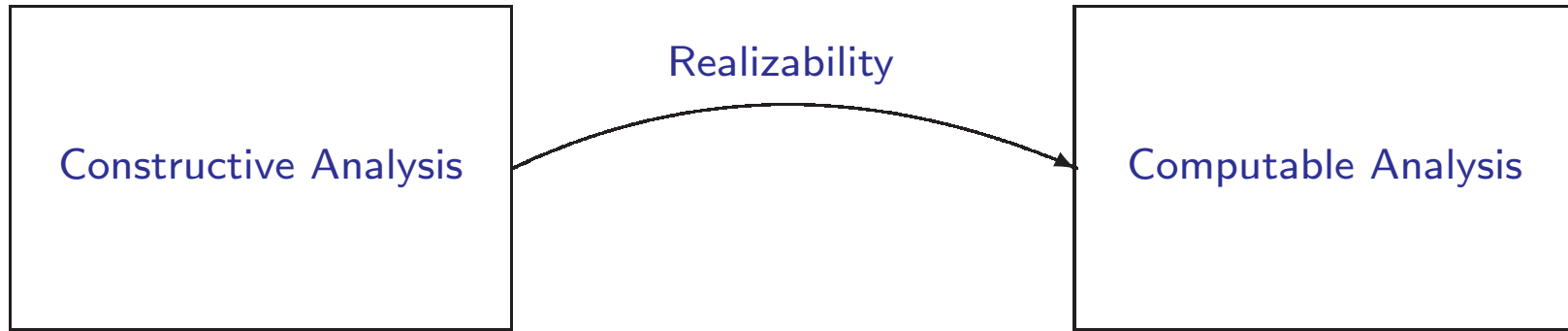
# Constructive and Computable Mathematics

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# Constructive and Computable Mathematics

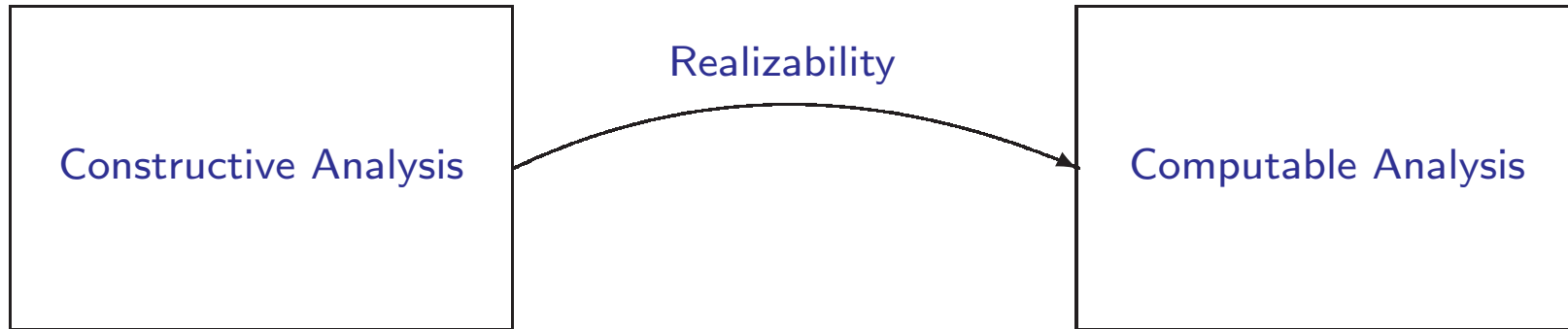
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- Many theorems from Constructive Analysis can be translated via realizability into meaningful theorems of Computable Analysis.  
Example: Baire Category Theorem.

# Constructive and Computable Mathematics

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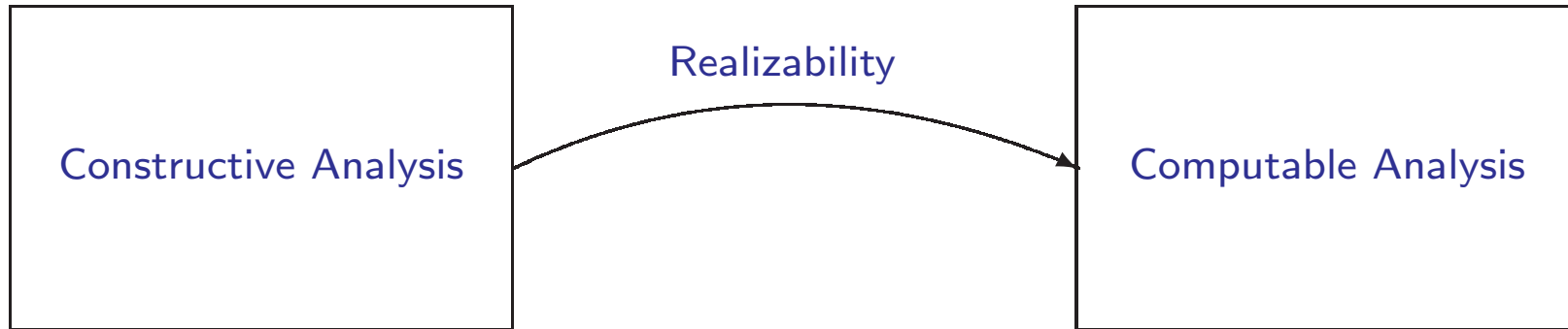


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Example: Baire Category Theorem.
- Counterexamples can be transferred into the other direction.  
Example: Contrapositive of the Baire Category Theorem.



# Constructive and Computable Mathematics

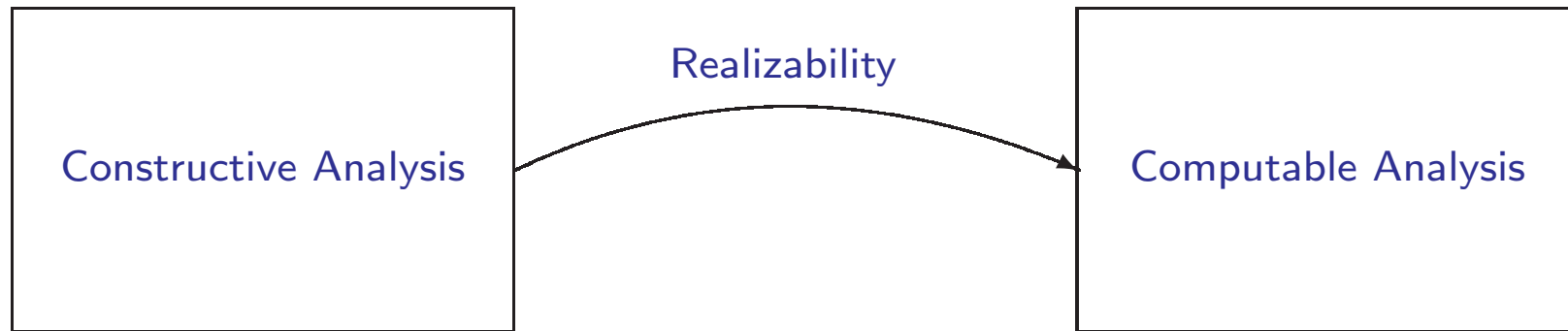
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- Some Theorems in Computable Analysis have no known counterpart in constructive analysis which would lead to them via realizability.  
Example: Banach's Inverse Mapping Theorem.

# Constructive and Computable Mathematics

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Example: Contrapositive of the Baire Category Theorem.
- Some Theorems in Computable Analysis have no known counterpart in constructive analysis which would lead to them via realizability.  
Example: Banach's Inverse Mapping Theorem.
- Some Theorems in Constructive Analysis, if interpreted via realizability, lead to tautologies in Computable Analysis.  
Example: Banach's Inverse Mapping Theorem.

## References

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