

# Bounding Nonsplitting Enumeration Degrees

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Goal: Introduce a form of  $\Sigma_2^0$ -permitting for the enumeration degrees.

Till now, density was the only known property that held in all ideals of  $\Sigma_2^0$ -enumeration degrees.

$A$  is enumeration reducible to  $B$  ( $A \leq_e B$ ) if we can enumerate  $A$  given any enumeration of  $B$ .

### Definition

$A \leq_e B$  iff there is c.e. set  $\Phi$  such that

$$A = \{x : \exists \langle x, P \rangle \in \Phi (P \text{ finite and } P \subseteq B)\} = \Phi^B$$



## Basic Facts

We can embed the Turing degrees into the enumeration degrees via the embedding  $\iota : \text{deg}_T(A) \mapsto \text{deg}_e(A \oplus \bar{A})$ .

- The image of the Turing degrees under  $\iota$  is known as the “total degrees”.

$$\mathbf{0}_e = \{W : W \text{ is c.e.}\}.$$

$$\mathbf{0}'_e = \text{deg}_e(\bar{K}).$$

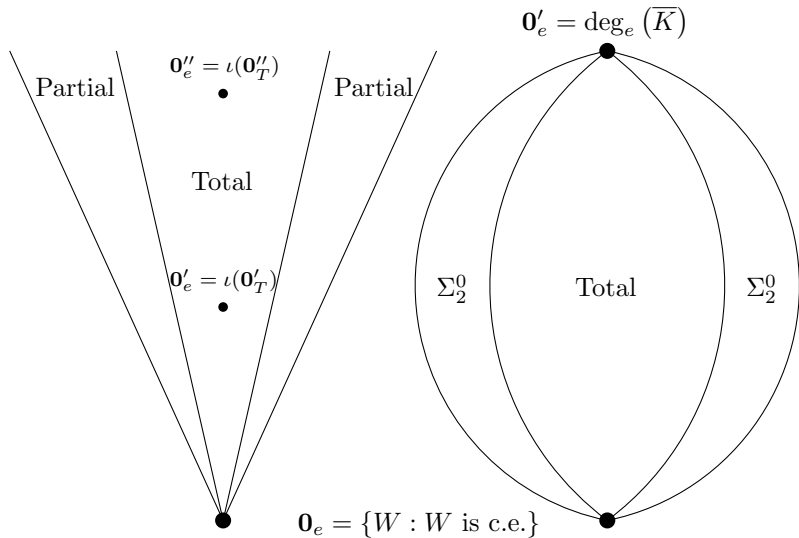
Theorem (Cooper, 1984)

$A$  is  $\Sigma_2^0$  iff  $A \leq_e \bar{K}$ .

Theorem (Cooper, 1984)

*The  $\Sigma_2^0$ -enumeration degrees are dense.*

# The Global and Local Picture



# Nonsplitting Degrees

## Definition

A degree  $\mathbf{a}$  is nonsplitting if  $\mathbf{a} > \mathbf{0}_e$  and for every  $\mathbf{x}, \mathbf{y} < \mathbf{a}$ ,  
 $\mathbf{x} \vee \mathbf{y} < \mathbf{a}$ .

Theorem (Ahmad 1989 (c.f. Ahmad, Lachlan 1998))

*There exists a nonsplitting  $\Sigma_2^0$ -enumeration degree.*

The requirements:

- Nontrivial

$\mathcal{N}_\Phi$  :  $A \neq \Phi$ , and

- Nonsplitting

$\mathcal{S}_{\Psi, \Omega_0, \Omega_1}$  :  $A = \Psi^{\Omega_0^A \oplus \Omega_1^A} \Rightarrow \exists \Gamma_0, \Gamma_1 [A = \Gamma_0^{\Omega_0^A} \text{ or } A = \Gamma_1^{\Omega_1^A}]$ .



# Bounding Nonsplitting Degrees

## Theorem (Kent, Sorbi 2007)

*Every nontrivial  $\Sigma_2^0$ -enumeration degree bounds a nonsplitting degree.*

The requirements:

- $A \leq_e B$

$$\mathcal{R} \quad : \quad A = \Theta^B$$

- Nontrivial

$$\mathcal{N}_\Phi \quad : \quad A = \Phi \Rightarrow \exists \Delta (B = \Delta), \text{ and}$$

- Nonsplitting

$$\mathcal{S}_{\Psi, \Omega_0, \Omega_1} \quad : \quad A = \Psi^{\Omega_0^A \oplus \Omega_1^A} \Rightarrow \exists \Gamma_0, \Gamma_1 \left[ A = \Gamma_0^{\Omega_0^A} \text{ or } A = \Gamma_1^{\Omega_1^A} \right] \\ \text{or } \exists \Lambda [B = \Lambda].$$



# Some Corollaries

## Corollary

*The nonsplitting degrees are downwards dense in the  $\Delta_2^0$ -enumeration degrees.*

## Corollary

*There is a properly  $\Sigma_2^0$  nonsplitting enumeration degree.*

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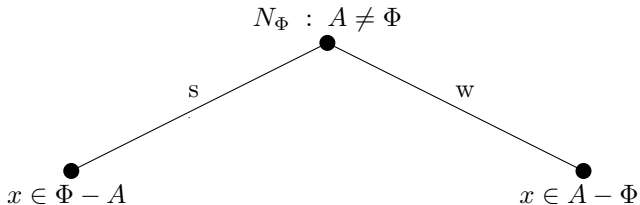
*The c.e. Turing degrees are not elementarily equivalent to any ideal of the  $\Sigma_2^0$ -enumeration degrees.*

## Question

*Are the nonsplitting degrees dense in the  $\Sigma_2^0$  or  $\Delta_2^0$  enumeration degrees?*



## N-Requirement - Standard

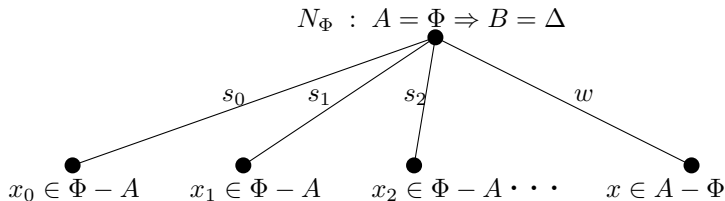


1. Pick  $x$  and set  $x \in A$ .
2. If ever  $x \in \Phi$ , set  $x \notin A$ .





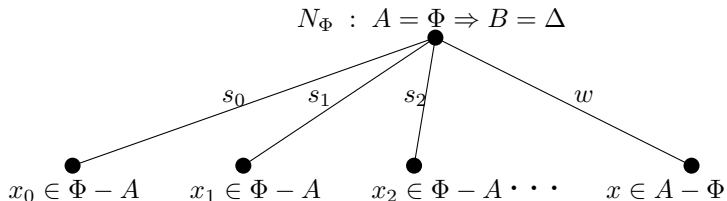
# N-Requirement - Bounded



1. Assume  $x_0, \dots, x_{n-1} \in \Phi \cap A$ .
2. Pick  $x_n$ .
3. While 1. holds, enumerate  $\langle x_n, B \upharpoonright x_n \rangle \in \Theta$ .
4. If ever  $x_n \in \Phi$ , stop defining  $x_n$  axioms, and enumerate  $D_n = \bigcap \{D : \langle x_n, D \rangle \in \Theta\}$  into  $\Delta$ .



## N-Requirement - Bounded

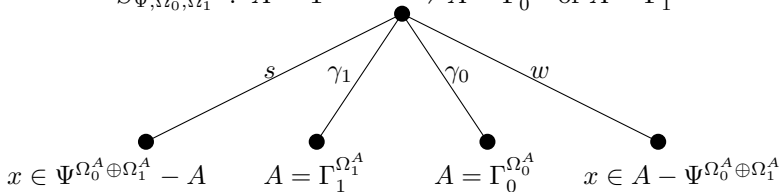


- If infinitely many  $x_i$  are defined and each  $x_i \in A$ , then since  $D_0 \subseteq D_1 \subseteq \cdots \subseteq B$ , we can conclude  $\Delta = B$ .
- If  $x_i \notin A$  then  $x_j \notin A$  for all  $j > i$ .
- (Conditional Dumping) While  $x_i \in A$ , for all  $y \in S(s_i)$ , enumerate  $\langle y, B \upharpoonright y \rangle$  into  $\Theta$ .



## S-Requirement - Standard

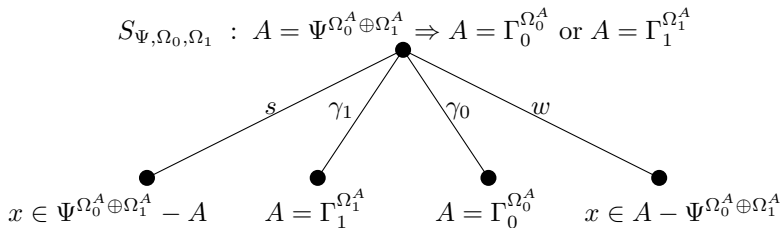
$$S_{\Psi, \Omega_0, \Omega_1} : A = \Psi^{\Omega_0^A \oplus \Omega_1^A} \Rightarrow A = \Gamma_0^{\Omega_0^A} \text{ or } A = \Gamma_1^{\Omega_1^A}$$



1. Pick  $x$  and set  $x \in A$ .
2. Wait for  $x \in \Psi^{\Omega_0^A \oplus \Omega_1^A}$  via  $\langle x, F_0 \oplus F_1 \rangle \in \Psi$ .
3. Enumerate  $\langle x, F_i \rangle$  into  $\Gamma_i$ ,  $x$  into  $S(\gamma_0)$  and return to Step 1.
  - Hopefully  $x \in A$  iff  $F_0 \subseteq \Omega_0^A$ .
  - If true for co-finitely many  $x$ , then  $A = \Gamma_0^{\Omega_0^A}$ .
  - Strategies below  $\gamma_0$  can only use  $x$  which have this property.



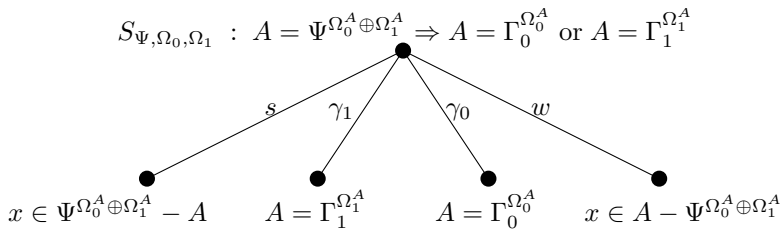
## S-Requirement - Standard



4. If ever see  $x \notin A$  and  $F_0 \subseteq \Omega_0^A$  (hence  $x \in \Gamma_0^{\Omega_0^A} - A$ ), dump  $S(\gamma_0) - \{x\}$  into  $A$ , enumerate  $x$  into  $S(\gamma_1)$ .
- For this  $x$ ,  $F_0 \subseteq \Omega_0^{A - \{x\}}$ , killing  $\Gamma_0$ .
  - Hopefully  $x \in A$  iff  $F_1 \subseteq \Omega_1^A$ .
  - If true for infinitely many  $x$ , then  $A = \Gamma_1^{\Omega_1^A}$ .
  - Strategies below  $\gamma_1$  can only use  $x$  which have this property.



## S-Requirement - Standard

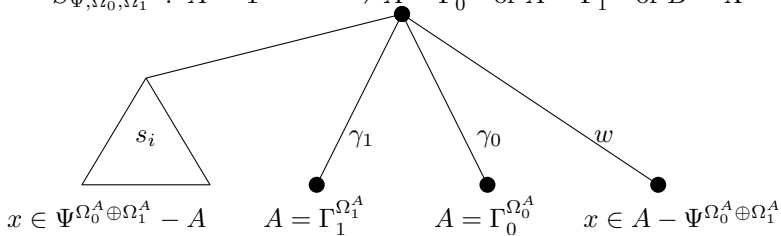


5. If ever see  $x \notin A$  and  $F_1 \subseteq \Omega_1^A$  (hence  $x \in \Gamma_1^{\Omega_1^A} - A$ ), dump  $S(\gamma_1) \cup S(\gamma_0) - x$  into  $A$ , and set  $x \notin A$ .
- For this  $x$ ,  $F_1 \subseteq \Omega_1^{A - \{x\}}$ , killing  $\Gamma_1$ .
  - Not a problem since now  $x \in \Psi^{\Omega_0^{A - \{x\}} \oplus \Omega_1^{A - \{x\}}}$ .



## S-Requirement - Bounded (v. 1.0)

$$S_{\Psi, \Omega_0, \Omega_1} : A = \Psi^{\Omega_0^A \oplus \Omega_1^A} \Rightarrow A = \Gamma_0^{\Omega_0^A} \text{ or } A = \Gamma_1^{\Omega_1^A} \text{ or } B = \Lambda$$

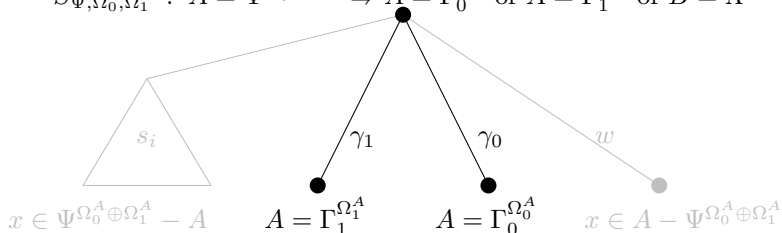


- As with the  $N$ -strategy, expand the outcome  $s$  to  $s_0, s_1, \dots$
- If we choose  $x_0, x_1, \dots$  as possible diagonalization witness, and for all  $i, x_i \in \Psi^{\Omega_0^A \oplus \Omega_1^A} \cap A$ , then  $B = \Lambda$ .
- (Conditional Dumping) While  $x_i \in A$ , for all  $y \in S(s_i)$ , enumerate  $\langle y, B \upharpoonright y \rangle$  into  $\Theta$ .



## S-Requirement - Potential Problem

$$S_{\Psi, \Omega_0, \Omega_1} : A = \Psi^{\Omega_0^A \oplus \Omega_1^A} \Rightarrow A = \Gamma_0^{\Omega_0^A} \text{ or } A = \Gamma_1^{\Omega_1^A} \text{ or } B = \Lambda$$

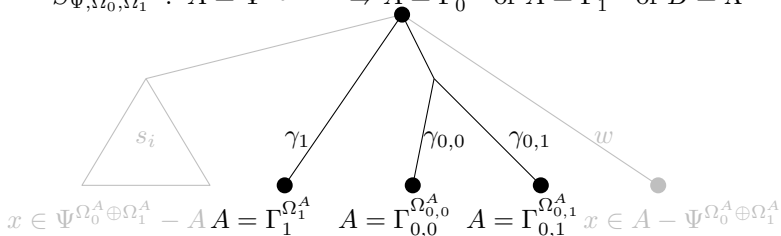


- $\Gamma_0$  assumes all elements of  $S(\gamma_1)$  have settled down.
- Possibly there is  $x \in S(\gamma_1)$  and  $y \in S(\gamma_0)$  such that
  - while  $x \in A$ ,  $y \in A$  iff  $y \in \Gamma_0^{\Omega_0^A}$ , but
  - while  $x \notin A$ ,  $y \notin \Gamma_0^{\Omega_0^A}$ .
  - $\lim_s A(x)$  does not exist, i.e.  $A$  is  $\Sigma_2^0$ .



## S-Requirement - Solution

$$S_{\Psi, \Omega_0, \Omega_1} : A = \Psi^{\Omega_0^A \oplus \Omega_1^A} \Rightarrow A = \Gamma_0^{\Omega_0^A} \text{ or } A = \Gamma_1^{\Omega_1^A} \text{ or } B = \Lambda$$



- Assume  $S(\gamma_1) = \{x\}$ .
- Construct two enumeration operators:  $\Gamma_{0,0}$  and  $\Gamma_{0,1}$ .
- $\Gamma_{0,0}$  assumes  $x \notin A$  and  $\Gamma_{0,1}$  assumes  $x \in A$ .
- Accounts for  $\Sigma_2^0$  nature of  $A$ .
- In general, if  $|S(\gamma_1)| = n$ , then we construct  $2^n$  enumeration operators.





# Quasi-Lexicographical Ordering

## Definition

Define the quasi-lexicographical ordering  $<_b$  on  $2^{<\omega}$  by  $\sigma <_b \tau$  if

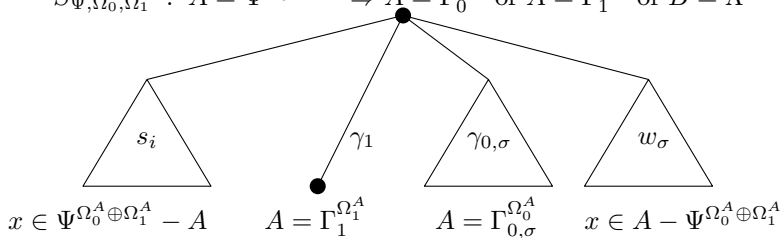
1.  $|\sigma| < |\tau|$ , or
2.  $|\sigma| = |\tau|$  and there is a  $k < |\sigma|$  such that  $\sigma(k) = 0$ ,  $\tau(k) = 1$ , and for all  $i < k$ ,  $\sigma(i) = \tau(i)$ .

0  $<_b$  1  $<_b$   
00  $<_b$  01  $<_b$  10  $<_b$  11  $<_b$   
000  $<_b$  001  $<_b$  010  $<_b$  011  $<_b$  100  $<_b$  101  $<_b$  ...



## S-Requirement - Bounded

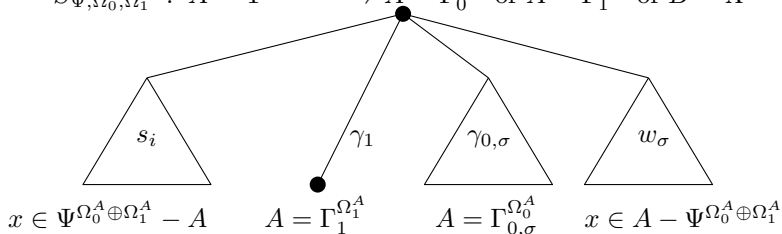
$$S_{\Psi, \Omega_0, \Omega_1} : A = \Psi^{\Omega_0^A \oplus \Omega_1^A} \Rightarrow A = \Gamma_0^{\Omega_0^A} \text{ or } A = \Gamma_1^{\Omega_1^A} \text{ or } B = \Lambda$$



- The strategy proceeds basically the same as before.
- We have added infinitely many outcomes to the tree to account for all possible states of elements to the left of the current outcome.
- When an element is moved left through the streams, it must make sure that the assumptions of the new stream are consistent with the assumptions of the previous stream.

## S-Requirement - Bounded

$$S_{\Psi, \Omega_0, \Omega_1} : A = \Psi^{\Omega_0^A \oplus \Omega_1^A} \Rightarrow A = \Gamma_0^{\Omega_0^A} \text{ or } A = \Gamma_1^{\Omega_1^A} \text{ or } B = \Lambda$$



- Other technical concerns not covered here include:
  - Other uses of conditional dumping.
  - Local approximations to  $B$ .
  - etc.



The End!

Kent, Sorbi “Bounding Nonsplitting Enumeration Degrees,”  
to appear in The Journal of Symbolic Logic.

